

# IRISH RESEARCH COUNCIL LAUREATE AWARDS PROGRAMME 2021/22

CALL DOCUMENT: GUIDE FOR APPLICANTS

# Please Read This Document Carefully Before You

# Register as an Applicant

Expression of Interest Open	04 June 2021
1st FAQ published	11 June 2021
Expression of Interest Deadline	27 Aug 2021 at 4pm
Call open	01 Sept 2021
FAQ deadline	03 Nov 2021
Deadline for applications	10 Nov 2021 at 4pm
Rebuttal phase	End of Feb 2022 (2 weeks)
Outcome of stage 1 assessment	April 2022
Applicant interviews	April 2022
Outcome of selection process	May 2022
Award commencement date	01 Sept 2022

Due to heavy server traffic on the closing day of the competition, applicants are strongly advised to submit applications well in advance of the deadline.

The Irish Research Council reserves the right to amend these terms and conditions without notice. Where an amendment has been made, the Council will endeavour to communicate this to all relevant parties.

1<sup>st</sup> Version Published 4.6.2021

2<sup>nd</sup> Version Published 7.7.2021

## **Updates for Version 2 of the Laureate Awards Call Document**

#### o Section 3.1.1

o Guidance is updated to indicate that the official date of the PhD [for eligibility purposes] is defined as the <u>date</u> on which the PhD was conferred.

## Section 3.4.2

o Guidance on contracts is updated.

# o Section 3.5.5

o Guidance on the time obligations of awardees to their Laureate Award is updated.

## o Section 4.6

• Guidance for Research Offices on the gender-blinding of Letters of Endorsement is updated.

## o Appendix 2

• The section describing the eligible costs for Principal Investigators has been significantly amended following engagement with Research Officers.

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#### 1. THE IRISH RESEARCH COUNCIL

The Council, an associated agency of the Department of Further and Higher Education, Research, Innovation and Science (DFHERIS) under the aegis of the Higher Education Authority, funds research across all disciplines on the basis of excellence. The Council supports the development of excellence in research within the higher education system, facilitating exceptional researchers to independently develop their ideas within their chosen discipline and across disciplines. In recent years, a consensus emerged that Ireland's research and innovation framework contained a significant gap: namely, opportunities for exceptional researchers to conduct frontier basic research across all disciplines beyond postdoctoral level. The introduction of the Irish Research Council Laureate Awards in 2017 sought to bridge this gap and has enabled the Council to deliver further on its remit by enhancing investment in excellent basic research across all career stages.

#### 2. INTRODUCTION TO THE STARTING AND CONSOLIDATOR LAUREATE AWARDS

## 2.1 Laureate Programme Overview

- 2.1.1 The health of the Irish research eco-system depends on a balanced set of funding measures which cultivate excellent research and researchers across all career stages, from postgraduate students to senior professors who are at the forefront of their disciplines internationally. A strong bedrock of basic research is essential to the functioning of our eco-system, providing the environment for world-class education, training and development, for new discoveries and the future application of those discoveries for economic or societal impact. The publication of a recent independent review of the <a href="Laureate Awards Programme 2017-2019">Laureate Awards Programme 2017-2019</a>, declared it to be a critical and timely addition to the Irish research landscape. The report also highlighted a wealth of high-quality basic research capacity across all disciplines in Ireland. DFHERIS has provided additional budget to the Laureate Awards Programme from 2021.
- 2.1.2 Funding will be awarded solely on the basis of excellence, assessed through a rigorous and independent international peer-review process. Funding will enable awardees to enhance their track record and international competitiveness. As well as the benefits for the awardee and their team, it is anticipated that this funding will enhance the potential for subsequent European Research Council (ERC) award success as a further career milestone; indeed, it is a requirement of all awardees that they make a follow-on application to the ERC.

The aims and objectives of the Irish Research Council Laureate Awards Programme are as follows:

- a. To enhance frontier basic research in Irish research-performing organisations, across all disciplines.
- b. To support exceptional researchers to develop their track record, appropriate to their discipline and career stage.
- c. To build the international competitiveness of awardees and Ireland as a whole.
- d. To leverage greater success for the Irish research system in ERC awards.
- e. To retain excellent researchers in the Irish system and to catalyse opportunities for talented researchers currently working outside Ireland to relocate to Ireland.

2.1.3 The Laureate programme co-exists with and is complementary to a range of funding instruments within Ireland's research eco-system. It is characterised by a sole focus on research excellence, and support at early-career stage for a) complete research independence and b) the opportunity to build a small research team. The programme is also characterised by broad applicant criteria that recognise that individuals in different disciplines have diverse routes to the point at which they are ready to start or progress an independent research career.

## 2.2 Description of the Starting and Consolidator Laureate Awards

## 2.2.1 The 2021 call invites applications for two types of award:

#### a. Starting Laureate Award

The aim of the Starting Laureate Award is to enable early-career researchers who have already produced excellent supervised work and are ready to work independently. It is aimed at researchers who have been awarded their PhD¹ at least 3 and up to 8 years prior to 10 November 2021. Applicants must have already shown the <u>potential</u> for research independence, maturity in managing an individual research project, mentorship and supervision. Applicants should be able to demonstrate a promising track record from an early stage, appropriate to their research field and career stage.

#### b. Consolidator Laureate Award

The aim of the Consolidator Laureate Award is to enable excellent mid-career researchers with an established track record to consolidate their own research programme or team. It is aimed at researchers who have been awarded their PhD at least 8 and up to 15 years prior to 10 November 2021. Applicants must be able to demonstrate research independence and evidence of maturity in their supervision of early career researchers. Applicants should also be able to demonstrate a promising track record from an early stage, appropriate to their research field and career phase.

# 2.2.2 A summary of the awards is outlined below:

Award type	Duration	Value	Award start	Discipline	Location
			date	coverage	
Starting Laureate	2 to 4 years	€250,000 up	Awards must	<u>All</u> disciplines	Irish HEI/RPO <sup>2</sup>
Award		to a max. of	commence by		
		€400,000 (incl.	1 Sept 2022		
		25%			
		overhead)			

<sup>&</sup>lt;sup>1</sup> Where multiple PhDs are held, eligibility will be determined against the first PhD awarded.

<sup>&</sup>lt;sup>2</sup> As of 1 Jan 2019, all HEIs/RPOs are required to hold at least a Bronze Award in the Athena Swan Charter Ireland. The list of eligible HEIs/RPOs can be found at the following link <a href="https://research.ie/funding/eligible-higher-education-institutions-and-research-performing-organisations">https://research.ie/funding/eligible-higher-education-institutions-and-research-performing-organisations</a>

Consolidator	2 to 4 years	€450,000 up		
Laureate Award		to a max. of		
		€600,000 (incl.		
		25%		
		overhead)		

## 3. ELIGIBILITY CRITERIA

All applicants to the Irish Research Council Laureate Awards Programme must meet the following eligibility criteria:

## 3.1 PhD eligibility

3.1.1 In order to be eligible to apply for a Starting Laureate or Consolidator Laureate award, applicants must have been awarded their first PhD (or equivalent doctoral degree)<sup>3</sup>.

Award Type Applican		Applicant
Starting	Laureate	Applicant should have been awarded their first PhD*
Award		>3 years and <8 years prior to 10 Nov 2021
		Cut-off dates:
		10 Nov 2013 to 10 Nov 2018 (inclusive)
Consolidat	tor	Applicant should have been awarded their first PhD*
Laureate A	Award	>8 years and < 15 years prior to 10 Nov 2021
		Cut-off dates:
		10 Nov 2006 to 10 Nov 2013 (inclusive)

<sup>\*</sup> The official date of the PhD is defined as the date on which the PhD was conferred: i.e. the date stated on the official PhD certificate or transcript.

3.1.2 As part of the application process, applicants will be required to upload a verifiable copy of their degree certificate or transcript in order to confirm the date of award of the PhD. In order to substantiate the equivalence of their overall training to a PhD, medical doctors need to provide certificates of both a medical doctor degree and a PhD or proof of an appointment that requires doctoral equivalency, e.g. postdoctoral fellowship, and information on their research experience, including peer-reviewed publications.

<sup>&</sup>lt;sup>3</sup> See Appendix 1 for more information on PhD and Equivalent Doctoral Degrees for the Laureate Awards Programme.

#### 3.2 Eligible career breaks

- 3.2.1 An applicant's period of eligibility may be extended in the following properly documented circumstances, provided the grounds for the extension started before the call deadline. Eligible career breaks include the following:
  - a. Maternity leave
  - b. Paternity leave
  - c. Adoptive leave
  - d. Parental leave4
  - e. Long-term illness leave
  - f. Carer's leave
  - g. Military service
  - h. Clinical qualifications
- 3.2.2 Only career breaks taken by the applicant as a statutory entitlement will be considered eligible.
- 3.2.3 No allowance will be made for part-time working (two years of part-time working count as two full-time years).
- 3.2.4 If an applicant wishes to extend the period of eligibility, they must produce evidence, birth certificate and/or documents from the HR office of their employer at the time of the eligible break(s):
  - a. For maternity leave, applicants will be granted an 18-month extension for each child born before or after the first PhD award, regardless of how long the applicant took for maternity leave. If the applicant can document a longer maternity leave, the eligibility period will be extended by the documented amount of actual leave taken until the call deadline. The same principle also applies for child adoption.
  - b. For paternity leave, applicants will be granted an extension equal to the documented amount of paternity leave actually taken for each child born **before or after** the first PhD award. The same principle also applies for child adoption.
  - c. For parental leave/carer's leave, applicants will be granted an extension equal to the documented amount of leave actually taken by the applicant for each incident which occurred after the first PhD award.
  - d. Verification for long-term illness must be provided in the form of a medical certificate. For long-term illness (over ninety days for the principal investigator or a close family member, i.e. child, spouse, parent, sibling), applicants will be granted an extension equal to the documented amount of leave actually taken by the applicant for each incident which occurred after the first PhD award.
  - e. Verification of leave taken for clinical qualifications or military service must be provided in an appropriate form. Applicants will be granted an extension equal to the documented

<sup>&</sup>lt;sup>4</sup> Parental leave entitles parents to take unpaid leave from work to spend time looking after their children. Individuals can take up to 26 weeks' parental leave for each eligible child before their 12th birthday. <a href="https://www.citizensinformation.ie/en/employment/employment\_rights\_and\_conditions/leave\_and\_holidays/parental\_leave.html">https://www.citizensinformation.ie/en/employment/employment\_rights\_and\_conditions/leave\_and\_holidays/parental\_leave.html</a>

amount of leave actually taken for each incident which occurred after the first PhD award.

3.2.5 Career breaks explained by working outside of academia or by being unemployed for a period of time will not be considered as valid reasons to extend the eligibility window. Examples of other ineligible career breaks include taking time off to travel or to complete examinations for the Bar<sup>5</sup>.

#### 3.3 Track record

- 3.3.1 The aim of the Starting Laureate Award is to support early-career researchers who have already produced excellent supervised work and are ready to work independently. The aim of the Consolidator Laureate Award is to enable excellent mid-career researchers consolidate their own independent research programme or team. Applicants must demonstrate the ambition, originality and novelty of their research proposal. Applicants should be able to demonstrate a promising track record of early achievements, appropriate to their research field and career stage. Applicants should list (if applicable, and in addition to any other scientific achievements deemed relevant by the applicant in relation to their research field and project):
  - a. Publications in major international peer-reviewed multi-disciplinary scientific/scholarly journals and/or in leading international peer-reviewed journals, peer-reviewed conference proceedings and/or monographs, edited volumes and chapters in edited books;
    - Starting Laureate Award applicants should include <u>up to</u> five publications, highlighting at least one as main author or without the presence of their PhD supervisor as co-author.
    - ii. Consolidator Laureate Award applicants should include <u>up to</u> ten publications, highlighting several as main author or without the presence of their PhD supervisor as co-author.

<u>Note</u>: With the adoption of the San Francisco Declaration of Research Assessment (DORA) principles, the mention of Journal Impact Factors is no longer accepted and use of H-Index is discouraged among the field relevant bibliometric indicators that may be included as part of the publications track record. Instead, applicants are encouraged to briefly note the significance of the publications they have chosen to include in the track record.

- b. Research monograph(s) and any translations thereof;
- c. Granted patent(s);
- d. Invited presentations to internationally established conferences and/or international advanced schools;
- e. Other forms of peer-reviewed recognition of achievement (prizes, awards, academy memberships).
- f. Applicants are also invited to use this section to outline their broader contribution to research through teaching, public engagement, academic administration, etc. Applicants

<sup>&</sup>lt;sup>5</sup> A **bar examination** is a test intended to determine whether a candidate is qualified to <u>practice law</u> in a given jurisdiction.

may also provide a short narrative description of the scientific/scholarly importance of the research outputs submitted as part of the proposal, and of the role that the Principal Investigator (if applicable) played in their production. This change will also be reflected in future ERC calls in alignment with the San Francisco Declaration of Research Assessment (DORA).

#### 3.4 Employment status and host institution

## 3.4.1 Applicants must either:

Hold an academic post (permanent, or a contract that covers the duration of the award) in the proposed eligible host institution,

<u>or</u>

Be an individual who, upon receipt of a Laureate Award, will be conferred by the proposed eligible host institution with a contract of employment of sufficient duration to cover the term of the award. The contract of employment must enable the awardee to independently direct the research project and provide for the necessary accommodation and supporting infrastructure.

- 3.4.2 By providing a letter of support, the proposed eligible host institution confirms that the applicant is either a member of the academic staff or will be conferred with a contract of employment to cover the duration of the award if their application is successful.
- 3.4.3 All awardees must be based whole-time within, and employed by, the proposed host institution for the period of the award. Awards will not be made on a joint appointment basis with other institutions.
- 3.4.4 International applicants must satisfy the Ireland's regulations on immigration and employment and must have the support of their proposed host institution with respect to these regulations and requirements, if they are not a national of a member state of the European Union (EU). Arrangements with respect to immigration will be a matter for settlement between the applicant and their proposed host institution and the relevant immigration authorities of the Nation.<sup>6</sup>
- 3.4.5 The full list of eligible institutions can be found here: <a href="https://research.ie/funding/eligible-higher-education-institutions-and-research-performing-organisations/">https://research.ie/funding/eligible-higher-education-institutions-and-research-performing-organisations/</a>

# 3.5 Additional awards<sup>7</sup>

- 3.5.1 To be eligible to apply for a Starting Laureate Award, applicants cannot have held, or currently hold, any of the following IRC Laureate and/or ERC awards:
  - Starting Grant

<sup>&</sup>lt;sup>6</sup> http://ec.europa.eu/euraxess/index.cfm/services/index provides information on living and working in Europe.

<sup>&</sup>lt;sup>7</sup> Applicants will be deemed to hold an award at a particular date if any obligations from any of the parties to the award remain outstanding as at that date.

- Consolidator Grant
- 3.5.2 To be eligible to apply for a Consolidator Laureate Award, applicants cannot have held, or currently hold, either of the following IRC Laureate and/or ERC awards:
  - Consolidator Grant
  - Advanced Grant
- 3.5.3 Applicants holding an ERC Starting Grant which finishes within twelve months of the Laureate Awards Programme call deadline (4pm, 10 Nov 2021) may apply for a Consolidator Laureate Award. It is not intended that successful applicants would concurrently hold an ERC Starting Grant and a Consolidator Laureate Award. However, the Council will allow a maximum period of overlap of six months from the start date of the Laureate Award to enable successful applicants to finish their ERC Starting Grant obligations.
- 3.5.4 Applicants may have pending ERC Starting or Consolidator Grants at the time of application for a Laureate Award. Where an applicant for a Laureate Award secures an ERC award during the application process or in the conditional offer phase, the application/award will be terminated. In these circumstances, applicants are required to inform the Council immediately of the ERC award.
- 3.5.5 Successful applicants are expected to lead their individual teams and devote a significant amount of time to their project. A minimum time commitment of 25% of full-time employment is required across all IRC project awards. This time commitment is not pro-rated in the case of part time employment: for example, an awardee on a 0.5 full time equivalent (FTE) contract must dedicate 50% of their working hours to the Laureate award.

#### 3.6 Resubmissions

- 3.6.1 The number of applications across all the Council's programmes always outnumber the number of awards that can be made. As such, restrictions will apply as to how many times an applicant can re-submit a proposal for a Laureate Award. Applicants may apply for each of the Laureate Awards a maximum of twice.
- 3.6.2 Ineligible or withdrawn proposals do not count against any of the above restrictions.
- 3.6.3 Applicants should carefully and objectively evaluate their track record against the criteria set out above in order to ascertain if they are competitive at the time of application before deciding to apply.

#### 4. APPLICATION SUBMISSION

Applicants who wish to apply for the Laureate awards through an eligible HEI/RPO in Ireland must inform the Research Office (or other appropriate office) of their intent to submit an application to the programme. Canvassing the IRC or by, or on behalf of, applicants will render an application automatically ineligible. Where this occurs, the proposal will not, under any circumstances, be funded. Applications are subject to a mandatory Expression of Interest (EOI) submission (see section 4.4).

#### 4.1 Language requirements

- 4.1.1 Applications will be accepted in either the Irish or English language only. In order to facilitate evaluation by the international panel members and remote peer reviewers, applications submitted in Irish must be accompanied by a translation of the documents in English.
- 4.1.2 Copies of official documents, e.g. PhD degree certificate or transcript, can be submitted in any language. Official document(s) in any other language must be accompanied by a certified translation in English.

## 4.2 Application deadline

- 4.2.1 The Council strongly encourages the submission of applications well in advance of the closing date for the competition, as on the day that the call closes there will be heavy traffic on the server, which may slow down the submission of applications.
- 4.2.2 If you need to upload your application on the closing day, it is recommended that you allow at least 6 hours before the deadline to allow the upload to complete.
- 4.2.3 All applications will be assessed solely on the basis of the material submitted to the Council at the time of the application.
- 4.2.4 Please note that the Council will not follow up on any supporting documentation related to the application. It is the sole responsibility of the applicant to upload all supporting documentation prior to submission. If the documentation is not submitted by the stated deadline, the application will be deemed ineligible and will not proceed to the evaluation stage.

#### 4.3 Grant management system

- 4.3.1 Applications to the Laureate Awards Programme will only be accepted through the online grants management system, which can be accessed through the call page or by following this link: <a href="https://irishresearch.smartsimple.ie/s">https://irishresearch.smartsimple.ie/s</a> Login.jsp
- 4.3.2 A full step-by-step guide to creating a profile and logging into the system is detailed in on the Laureate webpage in the Applicant guide to Smart Simple.

#### 4.4 Expression of Interest (EOI)

4.4.1 EOIs are used by the Council to allow for early and accurate sourcing of international peer reviewers. The submission of an EOI is mandatory; full proposals will only be accepted from applicants who have submitted an EOI before the deadline at 16:00 on the 27 August. This will be strictly enforced.

- 4.4.2 Calls for expression of interest (EOI) submissions will open from the 4 June 2021 and will remain open until 16:00 on the 27 August 2021. Submissions will be accepted through the Research Offices who will be provided with a template for collecting details on all EOIs.
- 4.4.3 The template for EOIs will be available on the Laureate webpage and will ask for the applicant's title, name, proposed host institution, intended panel domain, discipline, keywords and a lay abstract for the project.
- 4.4.4 In the course of recruitment, the lay abstract provided in the EOI may be used to ensure sufficient expertise of the peer reviewer. No personal identifying information will be provided to potential peer reviewers during reviewer recruitment.
- 4.4.5 As peer review is confidential, reviewers will be required not to share EOI abstracts. This applies both during and after the application review process.
- 4.4.6 EOIs must be stamped/signed by the Research Office of the proposed host institution before submission. If it is not possible for EOIs to be stamped due to COVID-19 restrictions, an electronic signature from the relevant institution's Research Office will suffice.

#### 4.5 Application documents

- 4.5.1 A single submission of the full proposal documentation will be followed by a two-stage evaluation process outlined in section 6 below.
- 4.5.2 A complete proposal will comprise the following documents, to be uploaded via the grant management system as PDFs (Word documents will not be accepted):
  - a. Detailed Research Proposal 15 pages max.

The research proposal must provide a detailed description of the scholarly, scientific and/or technical aspects of the proposal, demonstrating the originality and novelty of the research, the proposed research methodology (including key risk and contingency plans) and its potential impact. The proposal must include a detailed budget justification. Explicit and clear justification should be provided for each budget category. References do not count towards the page limit.

The research proposal should include the following:

· State-of-the-art and objectives

Specify clearly the objectives of the proposal, in the context of the state-of-the-art in the field. When describing the envisaged research, it should be indicated how and why the proposed work is important for the field, and what impact it will have if successful, such as how it may open up new research horizons or opportunities. Specify any particularly challenging or unconventional aspects of the proposal, including multi- or interdisciplinary aspects.

Methodology

Describe the proposed methodology in detail, including as appropriate, key intermediate goals. Explain and justify the methodology in relation to the state-of -the-art, including any particularly novel or unconventional aspects addressing 'high-risk/high-gain' balance. Highlight any intermediate stages where results may require adjustments to the project planning.

· Resources (including project costs, see Appendix 2)

State the amount of funding considered necessary to fulfil the objectives for the duration of the project. The resources requested should be reasonable and fully justified in the proposal. The requested grant should be appropriate to the actual needs to fulfil the objectives of the project. Describe the size and nature of the team, indicating, where appropriate, the key team members and their roles. Specify any existing resources that will contribute to the project. Describe any other necessary resources, such as infrastructure and equipment. It is advisable to include a short technical description of any equipment requested, a justification of its need, as well as the intensity of its planned use. When estimating the costs for travel, please also consider participation of the awardee and team members in conferences and dissemination events.

#### b. CV - 2 pages max.

The CV should include the standard academic and research record including current funding awards. Applicants should comment on any actual or apparent overlaps with current funding awards to demonstrate that, if successful, there will be no double funding in respect of the same activities.

## a. Track Record – 2 pages max.

Applicants must provide a list of achievements, highlighting their track record. Applicants should refer to the profile for the relevant Laureate Award for the type of achievements expected, as outlined in section 3.3 above.

b. Data Management Plan – 2 pages max.

See section 4.7 below for more information.

c. Statement on ethical issues to be addressed and Sex/Gender Dimension in research statement – 2 pages max.

The self-assessment table in the online system must be completed even if there are no issues (simply confirm that none of the ethical issues apply to the proposal). See section 4.6 for further information.

- d. One letter of support from the host institution as specified below.
- e. PhD certificate or transcript (and supporting documentation if applicable).
- 4.5.3 In the context of the Covid-19 pandemic, applicants may mention in their track record section, any specific situation caused by the pandemic that had a negative effect on their curriculum vitae or track record.
- 4.5.4 With due deference and fairness to all applicants, the specified pages limits will be strictly adhered to. The panel members and peer reviewers will be instructed not to read any material provided beyond these limits.

#### 4.6 Gender

- 4.6.1 A key feature of the Council's Gender Strategy is to provide equal outcomes to both men and women so that Ireland can attract and retain the most talented, creative and innovative researchers, thereby maximising its collective research intelligence.
- 4.6.2 To ensure a level playing field for applicants the Council seeks to gender-blind the evaluation process. As such, profile information containing the name and gender of applicants is not provided to reviewers during Stage 1 evaluations.
- 4.6.3 Applications that request eligible career breaks will be assessed internally by the Council.

- Reviewers will be notified that a confirmed extension has been added to the applicant's eligibility window and notified of the date range covered by the career break, but no further details as to the reason for the extension will be provided.
- 4.6.4 Applicants are asked, insofar as possible, to use non-gendered pronouns when describing their research and track record and to review their CV and supporting documentation for obvious indicators of gender.
- 4.6.5 The support letter provided by the HEIs/RPOs should also be gender neutral and refrain from identifying the applicant's gender. Only the applicant's initial(s) and surname should be provided.
- 4.6.6 The Council has commissioned an independent review of the 2013 Gender Strategy and will use recommendations from the report to shape future calls and a new Gender, Equality, Diversity, and Inclusion Policy.

#### 4.7 Sex-Gender Dimension in Research

- 4.7.1 A further initiative arising from the Council's Gender Strategy is the requirement for all applicants to demonstrate that they have given full consideration to whether there is a potential sex and/or gender dimension in their proposed research. Applicants should consult Appendix 3 for further information.
- 4.7.2 Where applicants have indicated that there is no sex/gender dimension to their research, they will be asked to justify this assertion.
- 4.7.3 The integration of the sex-gender dimension in research is commonly mistaken for the integration of gender balance in research teams. These are two distinct matters, and the gender balance of a team should not be used to answer the sex-gender dimension in research question.

## 4.8 Open access: data management

- 4.8.1 In 2016, the European Commission adopted three goals for EU research and innovation policy: open science, open innovation and open to the world<sup>8</sup>. An important aspect of open science is a move towards open access to research results funded with public money. Facilitating access to those results encourages the re-use of research outputs. It is now widely recognised that making research results more accessible to all societal actors contributes to better and more efficient research, and to greater innovation in the public and private sectors.
- 4.8.2 In 2017, the National Open Research Forum (NORF) was established in Ireland to drive the Irish agenda for open research. As a signatory of NORF, the Irish Research Council aims to achieve an open access environment that supports excellent research.<sup>9</sup>
- 4.8.3 Applicants are required to address the data management needs of their research project. As

<sup>&</sup>lt;sup>8</sup> European Commission (2016), Open Innovation, Open Science, Open to the World: a vision for Europe, pp.6-7.

<sup>&</sup>lt;sup>9</sup> See IRC policy on Open Research in the Terms and Conditions for PI-led awards

- part of the application, applicants will furnish an outline data management plan (DMP) appropriate to their project and, if successful, a detailed DMP should be submitted to Council within six months of the award commencement date.
- 4.8.4 A DMP is a key element of good data management. A DMP describes the data management life cycle for the data to be collected, processed and/or generated by a research project. As part of making research data *findable*, *accessible*, *interoperable* and *re-usable* (FAIR), a DMP should include information on:
  - a. the handling of research data during and after the end of the project;
  - b. what data will be collected, processed and/or generated;
  - c. which methodology and standards will be applied;
  - d. whether data will be shared/made open access. If data cannot be made available, explain why;
  - e. how data will be curated and preserved (including after the end of the project).
  - f. Hoe the data will be stored/managed in compliance with General Data Protection Regulation (GDPR) and Health Research Regulations (HRR) if applicable.
- 4.8.5 Applicants should consult the list of resources in Appendix 4 for further information.

## 4.9 Ethical approval

- 4.9.1 The Council is committed to the maintenance of high ethical standards in the research that it funds. The proposed research shall comply with ethical principles and relevant national, EU and international legislation including the *Charter of Fundamental Rights of the European Union* and the *European Convention on Human Rights and its Supplementary Protocols*. Awardees should adhere to the recognised ethical practices and fundamental ethical principles appropriate to their discipline(s), as well as to ethical standards as documented in the different various national, sectoral, or institutional Codes of Ethics.
- 4.9.2 The host institution must have in place clear ethical guidelines and assurance procedures designed to manage research under its direction. The host institution and awardee must ensure that the research complies with all national and international regulation requirements governing the use of sensitive materials or processes: for example, radioactive isotopes, ionising radiation, laboratory animals or other animals, pathogenic organisms, genetically manipulated organisms, toxic and hazardous substances, and research on human subjects and human embryos. The aforementioned examples do not constitute an exhaustive list.
- 4.9.3 The Council is unable to award funding for research activity under any of the following prohibited areas:
  - a. human cloning for reproductive purposes;
  - b. genetic modification of human beings that could make such changes heritable (with the exception of research relating to cancer treatment of the gonads, which may be funded);
  - c. creation of human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer.
- 4.9.4 The ethics self-assessment table in Appendix 5 serves to identify any ethical aspects of the proposed work and must be completed (via the online system only), even if there are no issues (simply confirm that none of the ethical issues apply to the proposal). Please note that, if you

answer YES to any of the questions, you are requested to provide an ethics self-assessment. The aim of the ethics self-assessment is to provide guidance for discussion of ethical issues that may arise in the proposal and to identify how the applicant will deal with the identified issues.

- 4.9.5 Common ethical issues include:
  - a. the involvement of children, patients, vulnerable populations
  - b. the use of human embryonic stem cells;
  - c. privacy and data protection issues;
  - d. research on animals and non-human primates.
- 4.9.6 Ethical concerns also include the avoidance of any breach of research integrity, which means, in particular, avoiding fabrication, falsification, plagiarism or other research misconduct.
- 4.9.7 Where an awardee's research proposal requires approval by the Host Institution Ethics Committee or equivalent, written evidence of such ethical approval is required by the Council within six months of the commencement date of the award.
- 4.9.8 Applicants are advised to consult the H2020 guidance document *How to complete your ethics self-assessment,* before completing the ethics self-assessment. See Appendix 5.
- 4.9.9 If access to archival material in private custodianship or archival material with restricted access is required for the project, written evidence of appropriate permission to consult such material must be furnished to the Council at the award acceptance stage.

## 4.10 Nominating peer reviewers

- 4.10.1 Applicants may nominate up to five <u>international</u> academics to be considered for peer review of their proposal. The role of a peer reviewer is to provide an expert academic review of proposals, not personal testimonials. Nominated peer reviewers must NOT:
  - a. Be a collaborator on the proposed project or have collaborated on a research project, or worked closely, with the applicant in the last five years;
  - b. Have, or have had in the past, a mentor/mentee relationship with the applicant.
  - c. Be employed, or was employed within the three previous years, by the proposed host institution;<sup>10</sup>
  - d. Be a former PhD supervisor of the applicant;
  - e. Have close family ties (spouse, domestic or non-domestic partner, child, sibling, parent etc.) or other close personal relationship with the applicant of any proposal they are requested to evaluate.
- 4.10.2 The Council reserves the right to appoint a peer reviewer to the proposal who is not a nominated peer reviewer of the applicant.

<sup>&</sup>lt;sup>10</sup> When an expert is working in a different department/laboratory/institute to the one where the work is to be carried out, and where the constituent bodies operate with a high degree of autonomy, the IRC may exceptionally allow the expert to participate in the evaluation, if duly justified by the limited size of the pool of qualified experts.

## 4.11 Request to exclude certain peer reviewers

- 4.11.1 Applicants may also specify up to three individuals whom they wish to be excluded as an international peer reviewer in the evaluation of their proposal. The persons identified will be excluded from the evaluation of the proposal concerned as long as this does not compromise the Council's ability to have the proposal evaluated in line with the evaluation process as set out.
- 4.11.2 Applicants are required to provide the name, institution and e-mail address of nominated and the name and institution of excluded peer reviewers via the online system.

## 4.12 Endorsement from proposed host institution

- 4.12.1 All applications must be endorsed by the proposed host institution. For this reason a letter of support, signed by the relevant Head of School and the Vice-President/Dean for Research<sup>11</sup> is required.
- 4.12.2 Applications that are not accompanied by completed and signed letters of endorsement will be deemed ineligible and will not go forward for assessment.
- 4.12.3 The proposed host institution is required to confirm the following via the letters of institutional support:
  - a. Based on the information available to it, the eligibility of the applicant;
  - b. The applicant is, or will be, upon receipt of a Laureate Award, recognised as an employee of the institution for the duration of the award;
  - c. The applicant, if successful, will be based at the institution for the duration of the award;
  - d. The requested budget is consistent with institutional policies;
  - e. The infrastructure required to undertake the research project will be made available to the applicant, if successful;
  - f. The relevant ethical approval has been, or will be, sought and fully considered within six months of the commencement date of an award;
  - g. If sought, the transfer of a Laureate Award to another eligible research institution will be allowed subject to the approval of the Council;
  - h. The institution is satisfied as to the applicant's standards of research conduct and integrity based on available information;
  - i. Where a finding of bullying, harassment (including sexual harassment), and/or sexual violence has been upheld against the applicant and there is a current disciplinary sanction or warning in place, an appropriate risk assessment has been performed (or will be performed, if successful in obtaining a Laureate Award).<sup>12</sup>

<sup>&</sup>lt;sup>11</sup> Where neither of these positions exist in the host institution, the letter of endorsement should be signed by the most senior institutional officer with responsibility for research. The use of authorised signatories or pro-persona signatories will not be accepted.

<sup>&</sup>lt;sup>12</sup> This action is in line with the IRC's forthcoming Policy on Bullying, Harassment (including Sexual Harassment) and Sexual Violence.

## 4.13 Frequently asked questions

- 4.13.1 Any queries relating to this Call Document, or the operation of the programme generally, should be submitted to the relevant research office of the HEI/RPO in the first instance. In the interest of transparency and fairness to all applicants, Council staff will not discuss queries from individual applicants over the telephone or by e-mail.
- 4.13.2 A list of all queries not resolved by the Research Office should then be submitted as a batch to <a href="mailto:laureate@research.ie">laureate@research.ie</a> by the designated Research Officer. The FAQ page on the Council's website will be updated on a weekly basis.

#### 5. EVALUATION PROCESS

## 5.1 Eligibility checks

- 5.1.1 Before applications are forwarded to Stage 1 assessment, the Council will perform eligibility checks, including checks on the following:
  - a. The application must be submitted by the relevant deadlines;
  - b. Submission of all required elements of the proposal and completeness of same (i.e. all requested forms, parts or sections of the proposal, and supporting documents must be completed and present);
  - c. Post-PhD duration, including PhD equivalence;
  - d. Requests to take account of career break(s);
  - e. Funding awards (ERC and IRC);
  - f. Supporting documentation as appropriate.
- 5.1.2 Any applications that do not meet the eligibility criteria or are incomplete will not go forward for assessment, this will not count towards the number of times they can apply for a Laureate award in the future.
- 5.1.3 The eligibility checks are completed on the basis of the information provided as part of the application. Where there is a doubt about the eligibility of a proposal, the peer review evaluation may proceed pending a final decision. If it becomes clear before, during or after the peer review process that one or more of the eligibility criteria has not been met (for example, due to misleading or incorrect information), the proposal will be declared ineligible and not considered any further. This will not count towards the number of times they can apply for a Laureate award in the future.

# **5.2** Evaluation of proposals

- 5.2.1 The Council is committed to rigorous peer review of its funding programmes in line with international best practice.
- 5.2.2 For the Laureate Awards Programme, the Council is adopting a two-stage evaluation process,

to include an interview at Stage 2. All applications to the programme will be evaluated by an international panel supported by remote peer reviewers, across four panel domains:

- · Humanities (H)
- Social Sciences (SS)
- · Life Sciences (LS)
- Physical Sciences and Engineering (PE).

A breakdown of the disciplines included in each panel is available on the Laureate webpage.

- 5.2.3 The composition of each panel will broadly reflect the disciplines within the respective domain. The members of each panel will act as 'generalists' and both the Chair of each panel and the constituent members will be appointed by the Council based on their international scientific/scholarly standing. The remote peer reviewers will provide specific expertise within disciplines. All peer reviewers engaged by the Council are subject to an agreed Code of Conduct.
- 5.2.4 Any direct or indirect contact about the peer review evaluation of a proposal between an applicant or their host institution and a peer reviewer involved in the assessment process may result in the decision of the Council to exclude the proposal concerned from the evaluation.

## 5.3 Evaluation process

- 5.3.1 At the first stage of evaluation, the complete project proposal will typically be evaluated by a minimum of three international peer reviewers. Each reviewer will be required to assign a score and provide commentary on the application. The reviewer comments, but not the score, will be returned to the applicant to allow for a short rebuttal phase. Applicants will have two weeks from receipt of feedback to respond to the comments and to provide additional supporting evidence, where necessary. All peer reviews in addition to the applicant rebuttal will then be reviewed by a sitting panel. Based on the outcome of the panel evaluation, applications will be given a ranking of either 'A' or 'B' (see table below). All applications in receipt of an 'A' ranking will be retained for stage 2 of the evaluation process.
- 5.3.2 It is important to note that comments by individual reviewers may not necessarily be convergent. Differences of opinion about the merits of a proposal are legitimate among evaluators, and it can be potentially beneficial for an applicant to consider differing perspectives on the research proposal.

'A' rating	Application is of excellent quality and recommended to pass to stage 2 of the evaluation process.
'B' rating	Application is not of sufficient quality to pass to stage 2 of the evaluation process.

5.3.3 At the second stage of the evaluation process, applicants must attend an interview to present their project to the panel and answer questions. Interviews will last approximately 30 minutes in total. The applicant should expect questions related to all aspects of the proposal including the budget and questions on how the Laureate award would support future application to ERC funding calls. Panels will take into account the results of interviews alongside the peer reviews

- and rebuttal statements submitted as part of the first stage of the evaluation process.
- 5.3.4 It is the intention of the Council to hold interview panels virtually, however Council reserves the right to hold panels in person where necessary, subject to public health advice. Where panels are to be held in person, applicants will be given sufficient notice<sup>13</sup>.
- 5.3.5 In view of the confidentiality of the evaluation process, applicants who participate in a stage 2 interview are required not to share the identity of panel members until their names have been published on the Council's website.
- 5.3.6 Following the interview, each domain panel will rank the applications based on all evidence gathered through stage 1 and stage 2. Awards will be based on this ranked list and the cut-off for funding will be determined by the budget available. In the case of a tied score, the scoring of the quality of the proposed research will be used as the selection criterion to separate applications. If additional tiebreakers are required, the Council will inform applicants what these tiebreakers are.
- 5.3.7 Applications that fall below the budget cut-off will be placed on a reserve list for 12 months. The reserve list will be activated in order of ranking where budget becomes available e.g. in the case of declined awards, or where awards are terminated in the first year.

#### 5.4 Evaluation criteria

5.4.1 **Excellence** is the sole criterion of evaluation for both the research project and the applicant. Applicants who progress to stage 2 will also be asked to elaborate on how the Laureate Award will assist a future application to ERC.

Criterion	Description
Research project	<ul> <li>Ground-breaking nature, ambition and feasibility:</li> <li>To what extent does the proposed research address important challenges?</li> <li>To what extent are the objectives ambitious and beyond the state of the art (e.g. novel concepts and approaches or development between or across disciplines)?</li> <li>To what extent is the proposed research high risk-high gain (i.e. if successful, the payoffs will be very significant, but there is a high risk that the research project does not entirely fulfil its aims)?</li> <li>Scientific/scholarly approach:</li> </ul>

<sup>&</sup>lt;sup>13</sup> Candidates based outside of Ireland may elect to have the interview with the domain panel conducted via videoconferencing. The IRC will not cover the travel cost of those who travel for the interview, they are also not permitted to re-coup the costs for the award budget in the event their application is successful.

	<ul> <li>To what extent is the outlined scientific/scholarly approach feasible, bearing in mind the extent that the proposed research is high risk/high gain?</li> <li>To what extent are the proposed research methodology and working arrangements appropriate to achieve the goals of the project?</li> <li>To what extent does the proposal involve the development of novel methodology?</li> <li>To what extent are the proposed timescales, resources, budget and applicant time commitment adequate, good value for money, and properly justified?</li> </ul>
Applicant	<ul> <li>Intellectual capacity and creativity:</li> <li>To what extent has the applicant demonstrated the ability to conduct ground-breaking research?</li> <li>To what extent does the applicant provide evidence of creative independent thinking?</li> <li>To what extent does the applicant have the required scientific/scholarly expertise and capacity to successfully execute the project?</li> </ul>

#### 5.5 Feedback

- 5.5.1 At the end of the first assessment stage, applicants who received a ranking of 'B' will be provided with a percentile range indicting roughly where their application ranked in relation to others. They will also be provided with a feedback report comprising a panel consensus statement.
- 5.5.2 Following the outcome of the second stage of assessment of A-rated applications, all applicants will be notified as to the outcome of their application. Those who do not receive an offer of funding will be added to a reserve list for funding. All applicants who progressed to the second stage will receive an evaluation report comprising a panel consensus statement, a percentile range indicting roughly where their application ranked in relation to others and details of any borderline criteria that were used in determining the final rank of the application.
- 5.5.3 The panel consensus statement is a key element of the information provided to the applicants at the end of the evaluation process. The panel comments reflect the consensus decision taken by the panel as a whole, based on prior assessments from remote peer reviewers, and on a thorough discussion and on the ranking against other proposals during the panel meeting.

## 5.6 Appeals procedure

The Council has a '<u>Declined Funding' Appeals</u> Policy. The primary function of the appeals procedure is to ensure that the Council's review process has been fair and reasonable, and that the Council's review procedures were followed. The appeal procedure is not a peer review process itself and will not re-open such a process. Rather, it is designed to examine the possibility of procedural errors that may have occurred during assessment and other aspects of proposal review

#### 6. APPENDIX 1 - POLICY ON PHD AND EQUIVALENT DOCTORAL DEGREES

In order to be eligible to apply to the Laureate Awards Programme, an applicant must have been awarded a PhD or equivalent doctoral degree. For the purposes of this programme, the IRC is adopting the ERC policy on PhD and equivalent doctoral degrees since a condition of the Laureate award is a subsequent application to the ERC; therefore, Laureate award-holders must be able to satisfy the ERC eligibility requirements.

#### PhD degrees

The research doctorate is one of the highest earned academic degree. It is awarded for independent research at a professional level in either academic disciplines or professional fields. Irrespective of entry point, doctoral candidates have to meet a certain number of criteria in terms of the duration of the degree and the written assignments required, e.g. successful completion and examination of the research thesis comprising work of publishable quality is the basis of the doctoral award and making an original contribution to knowledge in the respective field. For applicants who hold more than one PhD award or equivalent, the date of the earliest award will define the applicant's eligibility for the Irish Research Council Laureate Awards programme.

## Degrees equivalent to the PhD

It is recognised that there are some other doctoral titles that enjoy the same status and represent variants of the PhD in certain fields. All of them have similar content requirements. Potential applicants are invited to consult the following for useful references on degrees that will be considered equivalent to the PhD:

EURYDICE: <u>Examinations, qualifications and titles - Second edition, Volume 1, European glossary on education</u> (2004).<sup>14</sup> Please note that some titles that belong to the same category as doctoral degrees (ISCED 6) may correspond to the intermediate steps towards the completion of doctoral education and they should not be therefore considered as PhD-equivalent.

<u>U.S. Department of Education</u> provides a full list of research doctorate titles awarded in the United States that enjoy the same status and represent variants of the PhD within certain fields. These doctorate titles are also recognised as PhD-equivalent by the U.S. National Science Foundation (NSF).<sup>15</sup>

#### Medical doctors

A medical doctor (MD) degree will not be accepted by itself as equivalent to a PhD award for the purposes of application to the Laureate Awards. In order to substantiate the equivalence of their overall training to a PhD, medical doctors need to provide certificates of both a medical doctor degree and a PhD or proof of an appointment that requires doctoral equivalency, e.g. post-doctoral fellowship and information on their research experience, including peer-reviewed publications. In these

<sup>14</sup> http://eacea.ec.europa.eu/education/eurydice/thematic\_studies\_archives\_en.php

<sup>15</sup> http://www2.ed.gov/about/offices/list/ous/international/usnei/us/edlite-structure-us.html

instances, the certified date of the medical doctor degree plus two years is the time reference for calculation of the eligibility time-window (i.e. 5-10 years past the medical doctor degree for Starting Laureates and 10-17 years past the medical doctor degree for Consolidators).

For medical doctors who have been awarded both an MD and a PhD, the date of the earliest degree that makes the applicant eligible takes precedence in the calculation of the eligibility time-window (2-7 years after PhD or 5-10 years past the medical doctor degree for Starters, and 7-12 years after PhD or 10-17 years past the medical doctor degree for Consolidators).

## First professional degrees

It is important to recognise that the initial professional degrees in various fields are first degrees, not graduate research degrees. Several degree titles in such fields include the term 'Doctor', <u>but they are neither research doctorates nor equivalent to the PhD</u>.

## 7. APPENDIX 2 - ELIGIBLE COSTS

All costs sought must be detailed and fully justified. Applicants must clearly demonstrate that the costs sought are necessary to undertake the research project and that such facilities are not available via any other means. Demonstration of value for money is an important consideration under the evaluation process. Applicants need to provide clear and convincing justification of their costings and should think carefully about the time and resources needed to complete the research successfully within the specified period. Overheads can be requested up to a maximum rate of 25% (of direct costs less equipment).

Only eligible costs as set out below will be considered. Applicants should ensure that their budget calculations are correct and seek guidance from their Research Office when preparing their budget to ensure that they are adhering to institutional guidelines and policies.

#### **Staff Costs**

The budget for staff costs as proposed in the application must be clearly justified. It will not be sufficient to provide a breakdown of how the costs are calculated; applicants must explain why the costs are sought for the project and how all personnel for which costs are sought will contribute to the project.

## **Principal investigator costs**

There are two types of eligible cost associated with the principal investigator. The two categories are mutually exclusive and can both be claimed throughout the course of an award but never at the same time. These are:

- a. **Replacement costs:** These are used to alleviate the awardee's commitments and to facilitate their participation as a principal investigator. Costs may be requested to facilitate the reallocation of existing commitments of the awardee (e.g. teaching) in order that they can devote appropriate time and effort to successfully completing the award. A value equal to point 1 on the IUA salary scale for postdoctoral researchers (excluding employer's pension contribution for members of the single pension scheme, see section see section on page 25 on pension contribution) may be charged to the project to facilitate the awardee's leadership of the project. If the existing academic commitments of the awardee are fully replaced, this money can be charged to the project in order to recruit one whole-time person, e.g. a postdoctoral fellow, to discharge the awardee's commitments. As part of the reporting requirements, the awardee will be required to report on how the replacement costs have been allocated.
- b. Contribution to employment costs: Up to 50% of the awardee's employment costs can be sought where hosting of the awardee gives rise to a new contract of employment or where an awardee's existing contract covers the duration of the award, and their employment costs are covered by the host institution or other specified means (e.g. other research grants/funds). If a portion of the awardee's salary (50% or more) comes from other research grants/funds for the duration of the award, this must be in line with the individual terms and conditions of the other funding agency.

Employment costs of Laureates who are permanent academic members of staff are not eligible costs under the Laureate Awards Programme. Any budget requests for such costs in the application will not be considered.

A summary of the policy is as follows:

Options	Approved contribution costs
(i) Seek costs to re-allocate	The value equivalent to point 1 on the IUA salary scale for
existing commitments of	postdoctoral researchers (inclusive of employers PRSI) to re-
awardees	allocate existing commitments of the awardee.
(ii) Seek contribution to	Up to 50% of the employment costs (including employer's PRSI) of
costs for new contract of	the awardee can be charged to the award. The budget sought may
employment or for an	reflect regular increments as per the IUA salary scale.
existing contract that	
covers the duration of the	
award	

#### Laureate award team members

Staffing category	Approved rates
PhD Students/	The rate of postgraduate stipend should be €18,500 per annum with
research masters	registration fees capped at €5,750 per annum for all students.
students <sup>16</sup>	
Postdoctoral fellows and	Applicants should use the Irish Universities Association's researcher
research assistants	salary scale for research assistants and postdoctoral fellows. The
	point on the scale should be determined by qualifications and
	experience and the rationale for selecting this point should be
	explained in the budget justification. The requested costs must
	include provisions for employer's PRSI. These costs can be pro-rated
	where appropriate. See section below on the exclusion of pension
	costs from IRC funding awards.
Other	Other personnel costs may be considered e.g. technicians, digital
	archivists. These costs must be explicitly justified, and institutional
	salary scales can be used for this category. As part of the award
	acceptance process, the Research Office must provide documentary
	evidence of the salary scale used.

## **Exclusion of Pension Costs**

Effective 1.1.2021, the IRC no longer provides funding for pension costs as a matter of course.

Research staff who are Single (Pension) Scheme members <u>do not</u> require an employer contribution where the funding is coming mainly or wholly from Exchequer funds (i.e. IRC), as this is provided by the State centrally. As the vast majority of IRC-funded research posts are wholly or mainly funded by

 $^{16}$  Taught masters/diploma/taught doctorate (not including structured PhDs) students cannot be recruited to a Laureate award.

the Exchequer and researchers will be members of the single scheme, the IRC no longer provides funding for pensions within the relevant research awards. Laureate applications should not make provision for pension costs for research assistants or postdoctoral researchers within the proposed budget for the proposal.

## Recruiting staff

The recruitment of staff and students must be done openly, through public advertisement. Staff and students must be recruited for the specific project and awarded topic <u>only</u>. Recruitment of staff can commence before the start date of the project and costs for recruitment can be charged to the project (outside the official start date) with the prior approval of the Council.

Institutions must continue to adhere to the principles of the Employment Control Framework, including ceilings for core posts as communicated to the institutions by the HEA.

# Eligible research expenses

All research expenses must be strongly justified. Should a particular expense be insufficiently justified within a successful application, the Council reserves the right to remove/amend this particular expense item at the award offer stage.

Travel costs	Costs for travel, subsistence and accommodation may be requested.
	Details on the number of trips, location, purpose and duration of the trips
	should be provided and the team members involved. Requests for travel
	and accommodation should be in line with institutional rates and norms
	for travel and accommodation. Business class travel is not an eligible cost.
Materials &	Where relevant to the viability of the project, the following research costs
consumables	may be sought but are not limited to: books and journals; animal costs;
	bench fees; laboratory fees; recruitment fees; survey costs; costs for
	participants in focus groups; etc. Costs related to data management for the
	duration of the project can be included in this category.
	Small equipment of a value of less than €1,000 should be included in the
	materials and consumables section, with the exception of computer
	equipment.
Publication	Publication costs can include the following but are not limited to:
costs	copyediting, indexing, copyright, images, proofreading, open access costs
	etc. Archival and digitization costs may be included in this category. The
	Council strongly encourages the use of open access publishers.
	It is the Council's expectation that all publication costs should be incurred
	during the period of the award rather than being built into a budget as an
	anticipated expenditure after the award has concluded. If the costs are not
	incurred during the lifetime of the award, the funds cannot be transferred
	to any other budget heading and must be returned to the Council.
Dissemination and	Costs associated with the dissemination of the research,
knowledge	seminar/conference attendance (provide details of name and location
exchange costs	where possible) and other channels of dissemination and material; e.g.
	leaflets, reports, websites and other knowledge exchange activities.

Access to research	Research infrastructures are facilities, resources and services that are used
infrastructures and	by research communities to conduct research and foster innovation in
supports	their fields. They include: major scientific equipment (or sets of
	instruments), knowledge-based resources such as collections, archives and
	scientific data, e-infrastructures such as data and computing systems and
	communication networks and any other tools that are essential to achieve
	excellence in research and innovation. They may be 'single-sited', 'virtual'
	or 'distributed'. <sup>17</sup>
	Charges for access to facilities and services not directly available to the
	applicant, such as the costs associated with commissioning specific
	experiments in research facilities and National Testbeds (e.g. ICHEC,
	Tyndall, CRANN, etc.) and access to necessary facilities, services, archives
	which are not available in the host institution, (i.e. consultancy fees,
	methodological support, bio banking, Clinical Research Facility support,
	MRI facilities) may be requested.
	Requests may also be included for accessing international databases and
	facilities or for the commissioning of experiments in international
	facilities/research labs where appropriately detailed. Justification should
	be provided where the required infrastructure is not available in Ireland.
	Supports can include training for the awardee and team members where it
	advances the project or the career development of the team members and
	is not provided by the host institution.
Relocation expenses	Only applies to applicants who are moving to Ireland from another country
	specifically to take up the award. A maximum of €5,000 can be sought
	under this heading and can only be sought for the first year of the award.
Overheads	Overheads can be charged at a rate of 25% of direct costs less equipment.
Equipment	For equipment costs, provide details and justification for any small items of
	equipment being sought. The Council will pay particular attention to any
	larger equipment requests. Any such requests will require a strong
	rationale and an account of why such items might not be already available
	to an applicant or fundable from core budgets.

# **Ineligible Research Expenses**

- Examples of ineligible costs include:
- Journal subscriptions;
- Fees for speakers (honoraria);
- Legal fees;
- Membership fees;
- Smartphones;
- Subventions to publishers.

<sup>&</sup>lt;sup>17</sup> European Commission (2016), <u>European Charter for Access to Research Infrastructures: Principles and</u> Guidelines for Access and Related Services, p.9.

#### 8. APPENDIX 3 - GUIDANCE ON THE SEX-GENDER DIMENSION IN RESEARCH CONTENT

Excellent research fully considers the potential biological sex and social gender dimensions as key analytical and explanatory variables. If relevant sex-gender issues are missed or poorly addressed, research results will be partial and potentially biased. In worst-case scenarios poor consideration of the sex-gender dimension in research can result in real-world applications based on inaccurate results or conceptions. Full consideration of the sex-gender dimension in research content is a requirement for all Irish Research Council awards and is a requirement of Horizon Europe funding.

The integration of the sex-gender dimension in research is commonly mistaken for the integration of gender balance in research teams. These are two distinct matters, and the gender balance of a team should not be used to answer the sex-gender dimension in research question.

We recommend this short video from the European Commission on the integration of sex/gender dimension in research: <a href="https://www.youtube.com/watch?v=67sbLrJAflQ">https://www.youtube.com/watch?v=67sbLrJAflQ</a>.

#### **Definitions**

**Sex** refers to a set of biological attributes in humans and animals. It is primarily associated with physical and physiological features including chromosomes, gene expression, hormone levels and function, and reproductive/sexual anatomy. Sex is usually categorized as female or male.

**Gender** refers to the socially constructed roles, behaviours, expressions and identities of girls, women, boys, men, and gender diverse people. It influences how people perceive themselves and each other, how they act and interact, and the distribution of power and resources in society. Gender is usually conceptualized as a binary (girl/woman and boy/man) yet there is considerable diversity in how individuals and groups understand, experience, and express it.

Irish charity, BelongTo provides a list of terminology associated with gender: www.belongto.org/parents/lets-talk-terminology/

#### Resources

The following links provide positive and negative examples that result from the inclusion or exclusion of sex and gender in research respectively. These may be useful for applicants to complete the Sex-Gender dimension statement in the application:

## General

- Integrating Gender into Research IGAR
- Stanford University resource concerning the sex-gender aspects of research

The following examples demonstrate these principles in the panel domains relevant to the Laureate programme:

## Life Sciences

- Online training for integrating sex and gender in health research
- Article about the dangers of drug testing on all-male animal populations (animal studies, drug design)

 Gender research focus in agricultural technology and botanical science (agriculture, botanical science)

## Physical Science and Engineering

- <u>Transport Infrastructure Ireland report on the implications of transport design for women in Ireland</u> (transportation engineering)
- Machine learning reinforcing gender stereotypes (machine learning)
- Oxfam study about the gendered impacts of mining (geoscience)

#### Social Science

 Book by Trine Rogg Korsvik & Linda M. Rustad on the gender dimension in research (multiple examples provided in the chapter, Safe Societies)

#### **Humanities**

• Article on urban design principles that take into account the needs of women and minority groups (urban design)

#### Toolkit: Gender in EU-funded research

This toolkit aims to give the research community practical tools to integrate gender aspects into their research, including equal opportunities for women and men and the sex-gender dimension of research, thereby contributing to excellence in research.

• <u>Toolkit Link</u>

Investing in a sex-gender-sensitive approach to the research content makes for higher quality and validity. If research takes into account the differences between men and women in the research population, the results will be more representative. General categories such as 'people', 'patients' or 'users' do not distinguish between men and women.

Research based on such categories may well draw partial conclusions based on partial data. For example, research on a new breast cancer treatment should include male patients, so as to draw a complete picture. Most basic research with animal models focuses on males to the exclusion of females (Zucker et al., 2010; Marts et al., 2004). Research on economic migrants cannot limit itself to male points of view if it wants to understand the whole migrant population.

How to consider the potential gender dimension and implications for your research

- 1. Research ideas and hypotheses: The relevance of sex-gender for and within the subject matter needs to be analysed and an assessment made of the state of knowledge in this respect. The formulation of hypotheses can draw upon previous research and existing literature. Indeed, the body of knowledge on sex-gender issues has been steadily growing over recent decades and can serve as interesting reference material to build new hypotheses for future research.
- 2. Project design and research methodology: While research methodologies may vary, they all strive to represent (aspects of) reality. Whenever this reality concerns humans, any sound methodology should differentiate between the sexes/genders and take into account the male/female and/or men's and women's situations equally. Groups such as 'citizens', 'patients', 'consumers', 'victims' or 'children' are potentially too general as categories.

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- 3. Research implementation: Data collection tools (such as questionnaires and interview checklists) should be gender-sensitive, use gender neutral language, and should make it possible to detect the different realities of men and women. This will help to avoid gender bias. For example, answers to be provided by the 'head of household' are not necessarily valid for all household members.
- **4. Data analysis:** In most research concerning human subjects, data are routinely disaggregated by sex, which would logically lead to analyses according to sex. However to date this is still not common practice. Systematically taking sex as a central variable and analysing other variables with respect to it (e.g. sex and age, sex and income, sex and mobility, sex and labour) will provide significant and useful insights. Involving gender-balanced end-user groups in the course of the research is also a good way of guaranteeing the highest impact.
- 5. Dissemination phase reporting of data: Collecting and analysing sex-gender-specific data is not enough if they are omitted from the published results. Sex-gender should be included in 'mainstream' publications as it is as much part of daily reality as any other variable studied. Specific dissemination actions (publications or events) for sex-gender findings can be considered. Institutions and departments that focus on gender should be included in the target groups for dissemination. Publications should use gender-neutral language.

#### **Checklist for Sex-Gender in Research Content**

Research ideas phase: If the research involves humans as research objects, has the relevance of sex-gender to the research topic been analysed?

If the research does not directly involve humans, are the possibly differentiated relations of men and women to the research subject sufficiently clear?

Have you reviewed literature and other sources relating to sex-gender differences in the research field?

Proposal phase: Does the methodology ensure that (possible) sex-gender differences will be investigated: that sex-gender differentiated data will be collected and analysed throughout the research cycle and will be part of the final publication?

Does the proposal explicitly and comprehensively explain how sex-gender issues will be handled (e.g. in a specific work package)?

Have possibly differentiated outcomes and impacts of the research on women and men been considered?

Research phase: Are questionnaires, surveys, focus groups, etc. designed to unravel potentially relevant sex and/or gender differences in your data?

Are the groups involved in the project (e.g. samples, testing groups) gender-balanced? Is data analysed according to the sex variable? Are other relevant variables analysed with respect to sex?

Dissemination phase: Do analyses present statistics, tables, figures and descriptions that focus on the relevant sex-gender differences that came up in the course of the project?

Are institutions, departments and journals that focus on gender included among the target groups for dissemination, along with mainstream research magazines?

Have you considered a specific publication or event on sex-gender-related findings?

## 9. APPENDIX 4 - RESOURCES ON DATA MANAGEMENT PLANS AND FAIR PRINCIPLES

- + H2020 Programme (2016) Guidelines on FAIR Data Management in Horizon 2020
- H2020 Programme (2016) Guidelines on Open Access to Scientific Publications and Research Data in Horizon 2020.
- · OpenAire The OpenAIRE2020 project
- FAIR data principles FORCE 11
- · ROAR- Registry of Open Access Repositories
- · OpenDoar Directory of Open Access Repositories
- · Registry of Research Data Repositories
- · NORF National Open Research Forum

# 10. APPENDIX 5 - ETHICS SELF-ASSESSMENT TABLE

Applicants are required to consider carefully ethical implications of their proposed research. The ethics self-assessment table below, which is drawn from Horizon2020, should be completed by applicants as they are undertaking the relevant assessment in advance of completing the application form in the online system. Detailed guidance on completing the ethics table below and further information is available on European Commission's <a href="https://www.website">website</a>.

Sectio	Section 1: HUMAN EMBRYOS / FOETUSES						
Does y	our research involve Human	YES	NO	Information to be	Documents		
Embry	onic Stem Cells (hESCs)?			provided in two-page document	to be kept on file. <sup>18</sup>		
If YES:	Will they be directly derived from embryos within this project?  Are they previously established cells lines?			Research cannot be funded  Origin and line of cells. Details on licensing and control measures by	Research cannot be funded Copies of relevant Ethics		
				the competent authorities of the Member States involved.	Approval.		
Does y	Ooes your research involve the use of Origin of embryos.		Copies of				
	n embryos? If YES:			Details on recruitment, inclusion and exclusion criteria and informed consent procedures.	relevant Ethics Approval. Informed Consent Forms. Information Sheets.		
_	your research involve the use of n foetal tissues / cells? If YES:			Origin of human foetal tissues / cells. Details on informed consent procedures.	Copies of relevant Ethics Approval. Informed Consent Forms. Information Sheets.		

<sup>&</sup>lt;sup>18</sup> These documents should be kept on file and furnished to the Council if requested.

Section 2: HUMANS						
Does y	our research involve human	YES	NO	Information to be		
participants?				provided in one of the		
				subcategories below:		
If	Are they volunteers for social			Details on recruitment,	Copies of	
YES:	or human sciences research?			inclusion and exclusion	relevant	
				criteria and informed	Ethics	
				consent procedures.	Approval.	
				·	Informed	
					Consent	
					Forms.	
					Information	
					Sheets.	
	Are they persons unable to			Information above	Documents	
	give informed consent?			plus: details on the	as above.	
				procedures to obtain		
				approval from guardian		
				/ legal representative.		
				Details on the		
				procedures used to		
				ensure that there is no		
				coercion on		
				participants.		
	Are they vulnerable			Details on the type of	Documents	
	individuals or groups?			vulnerability. Details on	as above.	
				recruitment, inclusion		
				and exclusion criteria		
				and informed consent		
				procedures. This must		
				demonstrate		
				appropriate efforts to		
				ensure fully informed		
				understanding of the		
				implications of		
				participation.		
	Are they children / minors?			Information above	Documents	
				plus: details on the age	as above.	
				range. Details on		
				children / minors		
				assent procedures and		
				parental consent. This		
				must demonstrate		
				appropriate efforts to		

			1	C 11 · C 1	
				ensure fully informed	
				understanding of the	
				implications of	
				participation. Describe	
				the procedures to	
				ensure welfare of the	
				child / minor.	
	Are they patients?			Details on the nature of	Documents
				disease / condition /	as above.
				disability. Details on	
				recruitment, inclusion	
				and exclusion criteria	
				and informed consent	
				procedures. Details on	
				policy for incidental	
				findings.	
	Are they healthy volunteers			Information as above	Copies of
	for medical studies?				relevant
					Ethical
					Approvals.
Does y	our research involve physical	YES	NO		
interventions on the study					
partici	pants?				
If	Does it involve invasive			Risk assessment for	Copies of
YES:	techniques (e.g. collection of			each technique and as	relevant
	human cells or tissues, surgical			a whole	Ethical
	or medical interventions,				Approvals.
	invasive studies on the brain,				
	TMS etc.)?				
	Does it involve collection of			Details on the type of	Copies of
	biological samples?			samples to be	relevant
				collected. Details on	Ethical
				procedures for	Approvals.
				collection of biological	
				samples.	
If your	research involves processing of g	genetic	inforr		
	ete the section "Protection of Per		-		
-	n 3: HUMAN CELLS / TISSUES				
	your research involve human	YES	NO	Information to be	Documents
-	r tissues? (Other than from			provided in one of the	to be
	an Embryos/Foetuses" i.e.			subcategories below:	provided at
Section	•			Januare Borres Below.	award stage
Jection	·· -,				avvai a stage

				details of the cells and	
				tissue types involved.	
If YES	Are they available			Details on cell types	
	commercially?			and provider (company	
	Are they obtained within this			or other).  Details on cell types.	Copies of
	project?			Details on cell types.	relevant
					Ethical
					Approvals.
	Are they obtained within			Details on cell types.	Authorisation
	another project?			Provider of the cell	by primary
				types.	owner of
				Country in which the	cell/tissues (including
				material is located.	references to
					ethics
					approval).
	Are they deposited in a			Details on cell types.	Details on
	biobank?			Name of the biobank.	biobank and
				Country in which the	access to it.
				biobank is located	
Section	4: PROTECTION OF PERSONAL I	DATA			
1	our research involve personal	YES	NO	Information to be	
data c	ollection and/or processing?			provided	
<u>It shou</u>	ld be noted that:				
"Perso	nal data" can be defined as				
identif	iers: any information that could,				
	way, lead to the specific				
_	ication of one unique person,				
	s name, social security numbers,				
date of	f birth, address, mails IPs etc.				
Any do	ta that you are using should be				
taken	nto account, regardless of the				
	d by which they are/were				
	ed: for example, through				
	ews, questionnaires, direct retrieval etc.				
Unine	ictricval etc.				
Proces	sing should be understood to				
not on	ly include data usage, but also				

more g	ng, transformation, transfer and, generally, as all actions using or research purposes.				
If YES:	Does it involve the collection and/or processing of sensitive personal data (e.g. health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction)?  It should be noted that this involvement applies, whatever the research topic or Programme. The above list is only indicative. If the type of data that you will be handling in your research is not included the list, it does not mean you should not take into consideration the subject of data processing.  Does it involve processing of genetic information?	YES	NO	Details of the data safety procedures (compliance with privacy by design and protection of privacy/confidentiality).  Details of procedures for data collection, storage, protection, retention, transfer if any, destruction or reuse.  Explicit confirmation of compliance with national and EU legislation  Information as above	Copies of relevant Ethical Approvals for the collection of personal data.  Information sheets.  Informed Consent Forms.  Copies of relevant Ethical Approvals for the processing of genetic data.
	- Does it involve tracking or observation of participants?  It should be noted that this issue is not limited to surveillance or localization data. It also applies to Wan data such as IP address, MACs, cookies etc.			Information above plus:  Details on methods used for tracking or observing participants.	

Does your research involve further	YES	NO	Details of the database	Document
processing of previously collected			used or to the source	confirming
personal data (secondary use)?			of data.	open public
personal data (secondarly doc).			or adtai	access to the
If YES:			Confirmation of open	data (e.g.
			public access to the	print screen
It should be noted that this question is			data or of authorisation	from
threefold. If you answer YES to any of			for secondary use.	Website) or
the 3 questions below, you fall within			More specifically, detail	authorisation
its scope:			how this consent was	by primary
			obtained specifically in	owner of
Are you planning to use pre-existing			case of public archives	data.
other data sets or sources and/or			·	uata.
does your research involve further			usage (Automatic opt in, etc.).	Informed
processing of previously collected			Permissions from the	Consent
data?			owner/manager of the	Forms (if
Does your research involve merging			data sets.	,
existing data sets?			uata sets.	applicable)
Are you planning to share data with			A mitigation procedure	
non-EU member states?			A mitigation procedure	
			to avoid private	
			appropriation of the data.	
			uata.	
			A mitigation procedure	
			to avoid the	
			unforeseen disclosure	
			of personal information	
			(i.e.: mosaic effect).	
			,	
			Explicit confirmation of	
			compliance with	
			national and EU	
			legislation.	
			Conformity to Safe	
			Harbour, if applicable.	

Does	your research involve	YES	NO	Information to be	Documents to be
anima	als?			provided	provided at award stage
				Details on	Copies of all appropriate
				implementation of	authorisations for the
				the Three Rs	supply of animals and the
				(Replacement,	project experiments.
				Reduction and	
				Refinement).	Copies of training
				Justification of	certificates/personal
				animal use and	licences of the staff
				why alternatives	involved in animal
				cannot be used.	experiments.
				Details on species and rationale for	
				their use, numbers of	
				animals to be	
				used, nature of	
				the experiments,	
				procedures and	
				techniques to be	
				used in a	
				chronological	
				order. Details on	
				procedures to	
				ensure animal	
				welfare during	
				their lifetime and	
				during the	
				experiment and	
				how its impact will	
				be minimised.	
				Details on severity	
				assessment and	
				justification.	
If	Are they vertebrates or			Information as	Documents as above.
YES	live cephalopods?			above	
	Are they non-human			Information above	Documents as above.
	primates (NHP)?			plus:	
				Confirmation of	Dorsonal history file
					Personal history file.

Are they cloned farm animals?  Are they an endangered species?			Information as above Information above plus: Discussion of specific ethics issues related to	Documents as above  Documents as above
Please indicate the species involved (Maximum number of characters allowed: 1000)  Section 6: THIRD COUNTRIES  Does your research involve YES NO			issues related to their use.	Signed declaration to confirm compliance with
third countries?  Countries: (Maximum number of characters allowed: 1000)		provided: Details on activities carried	confirm compliance with ethical standards and guidelines of H2020.	

<sup>19</sup> DIRECTIVE 2009/41/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 6
May 2009 on the contained use of genetically modified micro-organisms and REGULATION (EC) No
1946/2003 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 15 July 2003 on
transboundary movements of genetically modified organisms – see specifically its articles 4 to 11 and its annexes III to V

	out in non-EU	Copies of relevant Ethics
	countries	Approvals from EU
	Countries	1
		country host and non-EU
		country (double ethics
		review, is possible).
Do you plan to use local	Details on type of	In case of human
resources (e.g. animal and/or	local resources to	resources, copies of
human tissue samples,	be used and	relevant Ethics Approvals.
genetic material, live animals,	modalities for	
human remains, materials of	their use.	In case of animals, plants,
historical value, endangered		micro-organisms and
fauna or flora samples, etc.)?		associated traditional
,		knowledge, document
If YES:		showing compliance with
		Convention on
		Biodiversity (e.g. access
		permit and benefit
	5	sharing agreement).
Do you plan to import any	Details on type of	As above (use of local
material, including personal	materials or data	resources) and:
data, from non-EU/third	to be imported.	Material Transfer
countries into the EU?		Agreement (MTA)
If your research involves		
importing data, please also		
complete the section		
"Protection of Personal Data"		
i.e. Section 4.		
If YES: Specify the materials		
and countries involved		
(maximum number of		
characters allowed: 1000)		
<u> </u>	B + 11 · · · · ·	A 11 1 1 1 1 1 1
Do you plan to export any	Details on type of	Authorisation for export
material, including personal	materials or data	from EU.
data, from the EU to	to be imported.	Material Transfer
third/non-EU countries?		Agreement (MTA).
If your research involves		
exporting data, please also		
complete the section		
"Protection of Personal Data"		
i.e. Section 4.		

If YES: Specify the materials		
and countries involved		
(maximum number of		
characters allowed: 1000)		
If your research involves low	Details on benefit	As above (use of local
and/or lower-middle income	sharing measures.	resources) and narrative
countries, are any benefit-		document describing
sharing actions planned?	Details on	benefit sharing,
	responsiveness to	responsiveness to local
	local research	research needs and
	needs.	capacity building.
	Details on procedures to facilitate effective capacity building.	
Could the situation in the	Details on safety	Insurance cover.
country put the individuals	measures that will	
taking part in the research at	be implemented,	
risk?	including	
	personnel training	

## Section 7: ENVIRONMENTAL PROTECTION AND SAFETY<sup>20</sup>

<sup>20</sup> DIRECTIVE 2000/54/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 18

September 2000 - On the protection of workers from risks related to exposure to biological agents at work – see specifically its Chapter II and article 16; DIRECTIVE 2009/41/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 6 May 2009 on the contained use of genetically modified micro-organisms – see specifically its annex IV; DIRECTIVE 2008/56/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 17 June 2008 establishing a framework for community action in the field of marine environmental policy (Marine Strategy Framework Directive) – specifically its Annex III; COUNCIL DIRECTIVE 92/43/EEC of 21 May 1992 on the conservation of

	1 . 1 .1	\/F6			
1	your research involve the	YES	NO	Information to be	Documents to be
	elements that may			provided:	provided at
cause	harm to the			Details on safety measures	award stage:
enviro	onment, animals or			to be implemented.	Safety
plants	i?				classification of
If YES:	:				laboratory.
					GMO
					authorisation if
					necessary.
Does	your research deal with			Confirmation of	Specific
endan	gered fauna and/or flora			compliance with	approvals, if
/prote	ected areas? <sup>21</sup>			international/national/local	applicable
If YES:	:			guidelines/legislation.	
	your research involve the			Details on health and	University safety
	elements that may cause			safety procedures.	procedures.
	to humans, including				Safety
resear	rch staff?				classification of
If YES:	:				laboratory.
					-
	your research involve the			Details on health and	
	elements that may cause			safety procedures.	
harm	to humans, including				
resear	rch staff?				
If	Does your research				
YES	involve harmful				
163					
	biological agents? <sup>5</sup>				

natural habitats and of wild fauna and flora Council directive 79/409 EEC on the conservation of wild birds and

Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein

<u>Directive 2008/56/EC; Council Directive 92/43/EEC; Council Directive 79/409/EEC Council Regulation (EC) No 338/97</u>

Council Decision 93/626/EEC

<sup>&</sup>lt;sup>21</sup> See, in particular:

	Does your research involve harmful chemical and explosive agents? <sup>6</sup> Does your research involve harmful radioactive agents? <sup>7</sup> Does your research involve other harmful materials or equipment, e.g. high-powered laser systems?				
	n 8: <u>DUAL USE</u>				
poten	your research have the tial for military ations?	YES	NO	Information to be provided	Narrative document describing the potential dual use implications of the research.
If YES	Does your research have an exclusive civilian application focus?			Explanations on the exclusive civilian focus of the research	
	Will your research use or produce goods or information that will require export licenses in accordance with legislation on dual use items?			Details on what goods and information used and produced in your research will need export licences	
	Does your research affect current standards in military ethics – e.g., global ban on weapons of mass destruction, issues of proportionality,			Details on how the research might affect current standards in military ethics.	

	discrimination of combatants and accountability in drone and autonomous robotics developments, incendiary or laser weapons?							
Section 9: MISUSE								
Does your research have the potential for malevolent/criminal/terrorist abuse?		YES	NO	Information to be provided	Narrative document describing the potential dual use implications of the research			
If YES	Does your research involve information on/or the use of biological-, chemical-, nuclear/radiological-security sensitive materials and explosives, and means of their delivery?			Details on the legal requirements of the possession of such items and proposed risk mitigation strategies.				
	Does your research involve the development of technologies or the creation of information that could have severe negative impacts on human rights standards (e.g. privacy, stigmatization, discrimination), if misapplied?			Details on measures to prevent malevolent abuse.  Details on risk mitigation strategies.				
	Does your research have the potential for terrorist or criminal abuse e.g. infrastructural vulnerability studies,			Details on measures to prevent malevolent abuse.  Details on risk mitigation strategies.				

cybersecurity related research?				
Section 10: OTHER ETHICS ISSUES				
Are there any other ethics		NO	Information to be provided	Any relevant
issues that should be taken into				document.
consideration? Please specify:				
(Maximum number of				
characters allowed: 1000)				

Resources for completing ethics self-assessment,

- How to complete your ethics self-assessment
- <u>Horizon 2020 Online Manual Ethics</u> documents for Horizon Europe under development at the time of publication
- Ethics for researchers
- Strategy to minimize ethical misconduct
- Textbook on ethics in research
- Guidance Note for Researchers and Evaluators of Social Sciences and Humanities Research