

# **New Foundations Scheme 2020**

Including the 'Engaging Civic Society' Strand,

the 'Networking & Collaboration Grant' in partnership with The Department of Foreign Affairs,

the 'Creative Ireland Programme Research Grants' in partnership with the Department of Culture, Heritage, and the Gaeltacht,

the 'Growing Up in Ireland (GUI) Networking Events' in partnership with The Department of Child and Youth Affairs,

and

the 'Spatial Analysis of Crime, Safety and Confidence' Strand in partnership with The Department of Justice and Equality.

#### **TERMS AND CONDITIONS**

(including application process)





An Roinn Breisoideachais agus Ardoideachais, Taighde, Nuálaíochta agus Eolaíochta Department of Further and Higher Education, Research, Innovation and Science





Stronger Charities. Stronger Communities.



Clár Éire Ildánach Creative Ireland Programme 2017–2022





An Roinn Leanaí agus Gnóthaí Óige Department of Children and Youth Affairs



An Roinn Dlí agus Cirt agus Comhionannais Department of Justice and Equality

This document sets out details of the 2020 New Foundations Scheme. Included here are the Terms and Conditions regarding eligibility criteria, the application process, evaluation criteria, and requirements post-award. The Council makes every effort to ensure all information in this document is accurate; however, the Council reserves the right to amend this document.

#### **SCHEME 2020**

### SECTION A: IMPORTANT ADVICE AND APPLICATION PROCESS

Applicants should read these Terms and Conditions carefully before completing and submitting an application. Applications that are deemed to be ineligible under the Terms and Conditions of this scheme will not be considered for funding. Incorrect or incomplete applications will NOT be assessed.

For reasons of transparency and fairness to all applicants, the Irish Research Council will not enter into written or telephone correspondence with any individual about the assessment process or their eligibility to apply.

All applications must be submitted by the researcher and endorsed by the relevant Higher Education Institution's (HEI's)/Research Performing Organisation's (RPO's) Research Office using the online IRC submission portal on the **WizeHive application system**. Intending applicants who do not already have a user profile will need to create one before submitting an application. Please refer to the online **Guide for Applicants**, which is available on the <u>Council website</u>. Guidance notes and system notes are both available.

If you do not find the answer to your query in the call documentation, you should contact the Research Office in your proposed institution, which will provide information and clarification on the call. Research Offices can send any queries they are unable to clarify to <a href="mailto:newfoundations@research.ie">newfoundations@research.ie</a>. Frequently Asked Questions (FAQs) and answers will be posted on the Irish Research Council website and will be updated weekly once the call opens.

IMPORTANT DEADLINES FOR THIS CALL			
Call Open	15 <sup>th</sup> September 2020 at 4pm (Irish time).		
FAQ Deadline	13 <sup>th</sup> October at 4pm (Irish time)		
APPLICANT DEADLINE:	20th October 2020 at 4pm (Irish time)		
Research Office	29th October 2020 at 4pm (Irish time)		
Endorsement Deadline	, , ,		
Outcome of Scheme	January 2021		

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Strand 6: Children and Youth Affairs Research Grants In partnership with the
Department of Children and Youth Affairs (DCYA), GUI Networking Events (one
award up to a maximum of €10,00024
Strand 7: 'Spatial Analysis of Crime, Safety and Confidence' Strand in partnership with The Department of Justice and Equality (one award up to a maximum of
<b>€</b> 10 000)

# **About the Irish Research Council**

The mission of the Irish Research Council is to enable and to sustain a vibrant research community in Ireland. It seeks to enrich the pool of knowledge and expertise available for addressing Ireland's current and future needs, whether societal, cultural or economic, by funding excellent research and researchers. In order to deliver on this part of its mandate, the Council has a strategic objective to partner and collaborate with societal stakeholders, including enterprise, and with a particular focus to be given to government and civic society so as to address their research needs. Past and current Council programmes addressing the latter groups include Research for Policy and Society and New Foundations.

Innovation 2020 (the national strategy for research and development) has stressed the necessity of research to address societal challenges facing Ireland, at a national and global level: "Over the coming decades, we will face profound challenges, and innovation and research will be centre-stage in developing our national responses". The strategy acknowledges that it is imperative to foster a broad-based research capacity to support national and international policy goals. The Council has been assigned a number of actions in Innovation 2020 to increase the engagement of public entities and civic society in public policy and societal challenge-based research, which will ultimately strengthen public policy and societal impact. Supporting the development of the capacity of the research system to respond to societal challenges is both an international imperative as well as a national one. Accordingly, the Council's programmes have increasingly emphasised wider frameworks, such as Horizon 2020 Societal Challenges (New Horizons call), the Sustainable Development Goals (CAROLINE MSCA CO-FUND call) and National Strategic Outcomes (COALESCE Research Fund).

#### **General Instructions**

- Applicants must complete all required fields of the online form and submit the application before the specified deadline.
- Applicants must not exceed the stated word limit of the required fields.
- Please note that only items presented in the application documents will be evaluated by the assessors. Please do not provide additional sheets, appendices, etc. Inclusion of such additional items will render an entire application ineligible.
- Applications may be submitted in Irish or English only. In order to facilitate evaluation by the assessors in English, applicants submitting Irish language applications are invited to submit an English language translation of their application to newfoundations@research.ie.

Should an English translation not be provided by an applicant, the Irish Research Council may provide a translation to the assessors.

An application to this scheme will **not** be assessed if:

- the applicant is not eligible;
- the applicant submits more than one application (except for Strand 5, where two applications, one for each award under this strand, are permissible);
- the incorrect application documents are submitted;
- the application is not submitted by 4pm on 20th October 2020 (Irish time);
- the application is incomplete;
- incorrect or additional materials are uploaded to the application form;
- an application is not endorsed on the online system by the institutional research office of the applicant by the research office endorsement deadline of **29**<sup>th</sup> **October 2020 at 4pm (Irish Time)**;
- an applicant does not upload a signed endorsement form, if applicable, as below:
- o all applicable civic society partners (STRAND 1a ONLY)
- o all applicable partner HEIs/ RPOs (STRAND 4 ONLY)

Such forms should preferably have an organisational stamp or be on official letterhead. Electronic signatures are acceptable.

# **Important Dates & Frequently Asked Questions**

# Note: All deadlines are in Irish time.

FAQ deadline	13th October at 4pm	
Application deadline	20th October 2020 at 4pm	
Outcome of scheme	January 2021	
Commencement date	March 2021	

#### **SECTION B: DESCRIPTION OF SCHEME**

The 2020 New Foundations Scheme comprises **five strands**:

- o **Strand 1a**: Enhancing Civic Society within a national context (max €12,000 each)
- o **Strand 4**: Networking & Collaboration Grant, in collaboration with the Department of Foreign Affairs (max €10,000 each)
- o **Strand 5**: Creative Ireland Programme Research Grants (two awards, max €40,000 each)
- o **Strand 6**: In partnership with the Department of Children and Youth Affairs, GUI Networking Events (max €10,000)
- o **Strand 7**: Spatial Analysis of Crime, Safety and Confidence Strand in partnership with the Department of Justice and Equality (max €10,000)

More details on each strand can be found on the appendix of this 2020 New Foundations Teams and Conditions document.

#### PLEASE NOTE:

All awards are of a maximum of 9 months' duration.

An applicant may make only one application to the scheme (except for Strand 5, where two applications, one for each award under this strand, are permissible).

#### **SECTION C: ELIGIBILITY**

This scheme will offer research opportunities for academic researchers affiliated with, or supported by, an eligible HEI or RPO in Ireland to pursue research and dissemination activities. See the Irish Research Council <a href="website">website</a> for a list of eligible institutions. The applicant's affiliation with the HEI/RPO must be of sufficient duration to carry out the proposed project from the project start date until the project end date.

Applicants must, on the call deadline of 20<sup>th</sup> October 2020, hold a contract of sufficient duration with an eligible HEI or a recognised RPO to carry out the proposed research from the project start date of 1<sup>st</sup> March 2021 until the project end date.

#### **Strand Eligibility**

- ➤ Strand 1a: Run in partnership with The Wheel and Dóchas, this strand will support small, discrete collaborative projects between an experienced researcher, who already holds a contract of sufficient duration with an eligible HEI or RPO to carry out the proposed research from the project start date until project end date (acting as the applicant to the Council), and a charity.
- ➤ Strand 4: Successful awardees of Strand 4 of New Foundations must be an experienced researcher who already holds a contract of sufficient duration with an eligible HEI or RPO to carry out the proposed research from the project start date until project end date.\*
- ➤ **Strand 5:** Before the applicant deadline, a successful awardee will be a senior researcher who already holds a contract of sufficient duration with an eligible HEI or RPO to carry out the proposed research from the project start date until project end date.
- ➤ **Strand 6:** Before the applicant deadline, a successful awardee will be an experienced researcher who already holds a contract of sufficient duration with an eligible HEI or RPO to carry out the proposed research from the project start date until project end date.

➤ Strand 7: Before the applicant deadline, the successful awardee of Strand 7 of New Foundations must be an experienced researcher who already holds a contract of sufficient duration with an eligible HEI or RPO to carry out the proposed research from the project start date until project end date.

While postgraduate scholars are not eligible to apply to the strands that will run in New Foundations 2020, their involvement in the proposed projects is encouraged.

# **Submitting an Application**

Prior to submission, potential applicants MUST:

Ensure that their application has all required uploads:

- for Strand 1a, the endorsement form of all applicable civic society partners must be provided and uploaded to the application system.
- for Strand 4, the endorsement form of all applicable partner institutions/organisations relevant to the strand theme must be provided and uploaded to the application system.

All applicable endorsement forms are available on the New Foundations funding page: <a href="http://research.ie/funding/new-foundations/?f=principal-investigator-led">http://research.ie/funding/new-foundations/?f=principal-investigator-led</a>

Awards may not be held or continued at an institution other than the HEI/RPO specified in the application form, except with the *prior* agreement of the Irish Research Council. Funding will only be provided for the proposal that has been presented in the application form.

# **Applicant Residence**

Successful applicants must:

- Maintain their principal residence in Ireland during the term of the award and
- In the case of a national of a member state outside of the European Union (EU), satisfy the State's regulations on immigration and have the support of his/her HEI/RPO with respect to these regulations and requirements.

Arrangements with respect to immigration will be a matter for settlement between the proposed award holder and his/her HEI/RPO. Guidance on immigration mobility issues can be found on the Irish Researcher Mobility Portal: http://www.euraxess.ie.

<sup>\*</sup> Successful awardees of Strand 4 of New Foundations must be at least 3 years post-PhD at the time of applying to COALESCE and cannot already hold a COALESCE award at the time of applying to COALESCE.

#### SECTION D: ELIGIBLE EXPENDITURE & CONTINGENCY PLANNING

Awards are not intended to substitute or to augment funds available under national and international programmatic research actions.

Costs may be sought for eligible research expenses (see Table below).

All costs sought must be detailed and justified in the proposed budget. Applicants must clearly demonstrate that any costs sought are necessary to carry out the proposal. Demonstration of value for money is an important consideration under the evaluation and assessment process. Only eligible costs as set out in the table below will be considered. Applicants should ensure that their budget calculations are correct.

Applicants should take into consideration the feasibility of their proposed activities and expenditure in the current climate. The Council recommends referencing a contingency plan in such cases that the project objectives can no longer be achieved.

Please note: All expenses must be incurred within the lifetime of the project.

Eligible Research Expenses				
Travel Costs	Requests for travel and accommodation costs may be included and should provide details of the number and duration of trips being proposed. Travel and accommodation, where required, will only be provided for on a vouched basis. Per diems/subsistence rates will not be provided. The feasibility of travel should be taken into account and contingency plans should be considered. Every effort must be made to ensure that air travel, where used, is economical. Requests must take regard of institutional norms.			
Research Consumables	Where relevant to the viability of the proposal, the following essential research supplies are eligible: small consumables; books and journals; archival research costs; software and payas-you-go access to national research infrastructure. Only vouched expenses incurred in carrying out the research proposal will be funded.  The maximum allowed amount for research consumables is €1,000.			
General and/or Specialist Disciplinary Skill Training	Where relevant to the viability of the proposal, expenditure to be incurred as a result of general and/or specialist disciplinary skill training can be included.			
Dissemination	Expenditure to be incurred through the dissemination of outputs and outcomes can be included. Detail regarding the channels to be used should be provided.			

# Staff costs and Other

Where relevant to the viability of the proposal, staff costs can be included. All such costs must be specified and fully justified. Institutional rates should be used for all research staff costs sought. Recruitment to these posts should be in accordance with the scheme's Terms and Conditions and institutional norms.

Academic replacements are eligible costs, but the applicant cannot apply to cover his/her salary where he/she is not ordinarily employed by the host institution.

Academic replacement costs may be pro-rata. The maximum cost for 1 calendar year is €24,817 (inclusive of PRSI and Employer Pension Contribution).

Where not covered by the categories above, and relevant to the viability of the proposal, other costs can be considered. All costs must be specified and fully justified.

<u>Please note that equipment is not an eligible research</u> expense under this scheme.

### **Ethical Approval**

The Council is not in a position to award funding for research activity under any of the following prohibited areas:

- human cloning for reproductive purposes;
- genetic modification of human beings that could make such changes heritable (with the exception of research relating to cancer treatment of the gonads, which may be funded);
- creation of human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer.

The HEI/RPO is responsible for ensuring that ethical issues relating to the proposed research are identified and brought to the attention of the relevant approval or regulatory body. Approval to undertake the research must be granted before any work requiring such approval begins. Ethical issues should be interpreted broadly and may encompass, among other things, relevant codes of practice; the involvement of human participants, tissue or data in research; the use of animals; research that may result in damage to the environment; and the use of sensitive economic, social or personal data.

The HEI/RPO is responsible for ensuring that the proposed research complies with all national and international regulation requirements governing the use of sensitive materials or processes, for example radioactive isotopes, ionising radiation, laboratory animals or other animals, pathogenic organisms, genetically manipulated organisms, toxic and hazardous substances, and research on human subjects and human embryos.

Where an applicant's research proposal requires approval by the Ethics Committee or the equivalent body in his/her institution, written evidence of such ethical approval is required by the Council before activities for which ethical approval are required commence, but no later than three months after the start date of the award.

If a research proposal requires access to archival material in private custodianship or archival material with restricted access, written evidence of appropriate permission to consult such material must be furnished to the Irish Research Council before activities commence, but no later than three months after the start date of the award.

## Sex/gender dimension

The Council supports gender equality in the research and innovation system. The Council's *Gender Strategy & Action Plan 2013–2020* is available <u>here</u>. All applicants are required to give careful consideration to whether there is a potential sex or gender dimension that may arise in the course of their research.

Where the applicant is involved in the organisation of conference, networking or dissemination activities connected with their research project, due regard should be given to gender balance within any such panel(s).

#### SECTION E: EVALUATION PROCEDURES

All eligible applications received will be referred for international peer review convened by the Irish Research Council. The assessors will evaluate applications on the basis of the materials supplied, arrive at an overall judgement of standard and make final recommendations to the Irish Research Council. Qualitative feedback will not be provided to applicants under this scheme. Quantitative feedback will be provided to applicants under this scheme, on request. All awards will be made only on the basis of excellence. Irish Research Council deliberations in this regard will be final and the Irish Research Council or its Executive will not enter into discussion or correspondence on the outcomes of any application to this scheme.

Application to this scheme indicates acceptance of all these conditions.

#### **Evaluation Criteria and Scoring**

All applications will be evaluated, using only the information provided in the Application Form (Parts A and B), on the basis of four main criteria with weighted scores as follows:

Criteria	Description	Weighting
Quality of proposal and applicant	<ul> <li>Description of the proposal and quality of the proposal in relation strand specifications outlined. This includes:</li> <li>Originality, innovative nature, and significance of the proposal in the context of relevant research.</li> <li>Relevance to the Strand topic, where appropriate.</li> <li>Consideration of the relevant ethical issues and sex/gender dimension.</li> <li>Match between applicant's profile and proposal.</li> <li>Applicant's research track record, outputs to date and relevant skills to be applied to the work.</li> </ul>	40/100
Impact and outcomes	The value of the planned outputs, outcomes, and deliverables. Where relevant to the Strand topic, these include:  • The development of conferences/ workshops and networking and collaboration activities, where appropriate.  • The quality, engagement and merits of the proposed partnerships or networks, motivation for same.  • Contribution of the proposal towards future research funding applications or other future opportunities.  • The clarity, quality, and innovativeness of plans for public dissemination of research outputs and/or promotion of academic research within and across the diversity of disciplines.  Impact of the proposal should be demonstrated by applicants. A range of impact categories for consideration are listed in the Campus Engage report, Engaged Research: Society & Higher Education Addressing Grand Societal Challenges Together.	40/100
Project Management & Implementation	The feasibility and credibility of the proposal plan, including practical arrangements of the implementation, coordination, and	10/100

	management of the proposal.	
Value for Money	Levels of funding support sought and value for money.	10/100

#### SECTION F: INFORMATION FOR SUCCESSFUL AWARD HOLDERS

All applicants, successful and unsuccessful, will be informed of the outcome of the evaluation process by **January 2021**. A letter of award and an award acceptance form will issue to successful applicants. Successful projects will commence by **March 2021** and will be of up to a maximum of nine months' duration.

Successful applicants will hold an 'Irish Research Council New Foundations Award'. The recognised HEI/RPO where the award is to be held is referred to as the 'host institution'.

# **Award Acceptance**

Acceptance of the award is subject to these Terms and Conditions which, in conjunction with the Letter of Offer, will form the basis for the contract with the awardee's host institution. The award is held subject to these Terms and Conditions. If any of these are breached by an award holder, the Irish Research Council may suspend or terminate the award and/or may require reimbursement of such payments as have already been made to the host institution and may affect your ability to apply to further schemes in the IRC.

Applications for awards to support events already in planning may also be considered. Payments under this scheme will only commence once a signed acceptance form has been received by the Irish Research Council. The duration of an award should be no more than nine calendar months and must be completed by the **end of 2021**.

Awards may not be held or continued at an institution other than the host institution(s) specified in the application form, except with the *prior* agreement of the Irish Research Council. Funding will only be provided for the proposal as presented in the application form.

#### **Cessation of Award**

Should an award holder under this scheme be unable for any reason (including medical reasons) to pursue his/her proposal in accordance with the Terms and Conditions of the scheme, he/she must inform the Irish Research Council (newfoundations@research.ie) within two weeks of such a situation coming to his/her attention. In such situations, the Irish Research Council will have regard to the usual conventions of the host institution. However, given the basis on which grants are awarded, the Irish Research Council reserves the right to withdraw the award.

The Irish Research Council recognises that, for personal or professional reasons, an awardee under this scheme may wish to terminate his/her award. This should not be

done without *prior* consultation with the Irish Research Council, as it may involve reimbursement by the host institution to the Irish Research Council of some or all funding made available up to the date of termination. Awards may not be deferred or transferred.

It is the responsibility of the award holder to inform the Irish Research Council in writing of any change of address, telephone, or e-mail.

# **Grant Monitoring**

The Irish Research Council attaches considerable importance to procedures for monitoring the impact of its awards. A template final report (and progress reports as in the case of Strand 5) will be provided at the appropriate time by the Irish Research Council. The report should be accompanied by a financial report clearly related to the progress of the research. It is the responsibility of the awardee solely to ensure that the report is filed by the due date. Failure to file report by the required date, or submission of an unsatisfactory report, may result in the Irish Research Council terminating an award. The Irish Research Council will have leave to seek recovery of monies which have been awarded under this Scheme. All awards may be subject to an external research audit and financial audit.

The timely submission of satisfactory reporting by the award holder and by his/her host institution will be regarded as a condition of holding the award. Failure to do so will oblige the Irish Research Council to seek reimbursement of all monies already paid by the Irish Research Council.

The Council reserves the right to terminate awards where necessary and without prejudice.

# **Acknowledgement of Irish Research Council Funding**

Showcasing Irish Research Council awardees and their achievements is the most effective means that a funding agency has of demonstrating the value of publicly funded investment in research. It is imperative that the source of funding is acknowledged in all dissemination activities to bolster the commitment to funding research activities in Ireland.

In each of the following instances the Irish Research Council and Strategic Funding Partner, where applicable, must be acknowledged using the full spelling of the name, avoiding abbreviation:

- Research Publications
- Social Media (@IrishResearch, #LoveIrishResearch)
- Print Media
- Digital media Video/Podcast/Radio/Broadcast/Websites

In the case of sponsorship where a website or printed merchandise are produced, the Council logo must be included. The Council's logo, as it appears in the current Branding Guidelines, must be used correctly.

Awardees are also required to notify the Council in advance of their:

- participation in any significant events,
- > attaining an award of significant merit
- substantial participation in events of national interest
- impact that are connected to the Council-funded research.

This can be done by emailing <a href="mailto:newfoundations@research.ie">newfoundations@research.ie</a>.

# **Intellectual Property/Knowledge Transfer**

The Council does not make any claim to intellectual property arising from the Award.

The Council encourages the commercialisation of research output as outlined in Ireland's National IP Protocol 2019. For projects funded under this Scheme, foreground intellectual property generated will be owned by the HEI/RPO. The Council requires that background IP is captured clearly prior to initiation of the project, and that there is clarity on who has access to background IP and declarations made on basis of same. Good practice through the maintenance of notebooks and records must be adhered to. Preferential terms may be negotiated if the HEI/RPO is of the view that the best interests of the state are advanced. The host institution must establish rules and procedures for protecting and managing any intellectual property arising during the Award. These rules and procedures must be in accordance with national guidelines.

In the case of collaborative research where more than one host institution is involved, a research agreement (including reference to intellectual property rights and confidentiality) must be in place before the Award can commence. The terms of any such agreement must not conflict with those outlined in this document.

A copy of any research agreements established in relation to awarded projects under this Scheme must be made available to the Council.

# **Open Access Policy**

The Council has established and will promote the following policy relating to the placement of research publications and outputs in Open Access repositories. (It is accepted that there will be recognised instances in which the following might not be feasible – in this instance, the researcher should contact their Research Office or equivalent, where relevant, for advice):

- All researchers must lodge their publications and other research outputs, resulting in whole or in part from Council-funded research, in an Open Access repository and should make such publications publicly discoverable, openly accessible, and re-usable as soon as possible.
- All peer-reviewed journal articles and conference publications should be deposited as soon as possible, ideally at the time of acceptance by the journal/conference and no later than the date of formal publication.

- Other research outputs such as monographs, books, book chapters, research theses and reports should be deposited where possible.
- The repository should ideally be a local institutional repository to which the
  appropriate rights must be granted to replicate to other repositories. However,
  suitable repositories are those, both local and other, that provide free public
  access to, and make provision for long-term preservation of, published research
  findings.

Repositories should release the metadata immediately upon deposit. Open access to the full-text paper should be made immediately upon deposit or once access restrictions, as required by certain publishers, have expired.

Researchers should agree terms of deposit with publishers. Clarity should be sought on copyright, licensing and embargo policies, and policies with publishers must be respected. Access restrictions to full text articles may be applied as required by certain publishers, however these restrictions should not normally exceed 6 months after publication for scientific, technical and health science research publications and 12 months for arts, humanities and social sciences research outputs. However, the Council recognises that this may not be a feasible option in all cases, and in such a case, an expected embargo of up to 24 months may be facilitated in the arts, humanities and social sciences, but this will be subject to on-going review.

More information on the Open Access Policy is available on the Council's website at http://research.ie/assets/uploads/2017/05/IRC\_Open\_Access\_Policy\_Final.pdf.

Whenever data is to be collected with the support of a grant awarded by the Council and/or partners, applicants must specify the means by which that data will be made available as a public good for use by other researchers, via the Irish Social Science Data Archive (ISSDA) or other appropriate channels.

# **Award Funding**

All awards under this scheme are subject to receipt of continued funding by the Irish Research Council from the Department of Further and Higher Education, Research, Innovation and Science and the Council's Strategic Funding Partners. In the event of such funding being reduced or discontinued, neither the Department nor the Irish Research Council will be under any liability to provide funding or to compensate an awardee or their host institution for any reduction or cessation of such funding.

All amounts payable in respect of the award are paid directly to the host institution which co-ordinates the payments under the award. Any disbursements by a host institution of this scheme may only be made in accordance with usual institutional practice and Department of Finance guidelines.

# **Research integrity**

The HEI/RPO must ensure that the highest quality of research conduct is maintained. The HEI/RPO must ensure that there are formal, fair and effective processes in place

for the investigation of allegations of research misconduct (for example, plagiarism, falsification or fabrication of data, improper data selection, misuse of research funds) if they arise. These processes, together with the agreed procedures for investigating allegations of research misconduct, must be transparent and clearly publicised. The systems in place to manage research misconduct should align with the basic principles that underpin all research integrity and good practice as outlined in the national policy statement on <a href="Ensuring Research Integrity">Ensuring Research Integrity in Ireland</a> and the <a href="European Code of Conduct for Research Integrity">European Code of Conduct for Research Integrity</a>. The HEI/RPO is required to report to the Council all findings of any proven case of research misconduct arising from a Council-funded research project.

## Dignity in the Conduct of Research

The Council supports a research system in which individual researchers are enabled to reach their full potential at all stages of their career. All research participants are entitled to carry out their research free from any form of harassment, victimisation, or bullying. Host institutions have the responsibility to ensure an appropriate work environment and to deal with any complaints or issues speedily, in line with agreed grievance procedures. The Council's full statement on dignity in the conduct of research is available <a href="here">here</a>.

All Council staff are always entitled to be treated with courtesy and respect and, accordingly, are encouraged to report any instances of infractions to management. In cases where staff experience abusive or inappropriate behaviour, the Council reserves the right to report any such behaviour to the relevant personnel in the host institution connected with the individual.

# **APPENDIX I Strand Descriptions**

# Relevant policy updates

The New Foundations 2020 Strands 1a, 4, 5, 6, & 7 aim to foster 'engaged research'. In the Campus Engage report, <u>Engaged Research: Society & Higher Education Addressing Grand Societal Challenges Together</u>, this is described as "a wide range of rigorous research approaches and methodologies that share a common interest in collaborative engagement with the community and aim to improve, understand or investigate an issue of public interest or concern, including societal challenges. Engaged research is advanced with community partners rather than for them".

- Generating enhanced policy impact, New Foundations strands 4, 5, 6, & 7, are funded by a government department/agency and, accordingly, there will be a strong policy partnership in place for the duration of the research and in the dissemination of the results.
- Applicants are encouraged to integrate intersectoral partnership across the project lifecycle from design stage through to dissemination. Applicants should draw on good practice and available resources.

## **Partners**

A partner can be an individual or an organisation who provides an integral and discrete contribution (direct or indirect) to the proposed activities such as training, advice, or support, or may act in an advisory capacity. Partner organisations may be a statutory, non-statutory or voluntary organisation involved in developing, promoting, or delivering the project. Where justified, costs for partner involvement can be included within the award, in accordance with HEI/RPO institutional norms.

The terms of any partnership should be determined early, and relevant written agreements should be in place prior to the onset of the award. Consideration should be given to issues such as relative roles and responsibilities and governance arrangements when establishing partnership agreements.

The Council acknowledges challenges faced by academics and partners during the pre-commencement stage of research projects. A recent publication, led by Ní Shé *et al* (2020)<sup>1</sup>, identified four values of respect, openness, reciprocity, and flexibility for the pre-commencement stage. These values should be used to support inclusive, effective, and collective partnerships across all stages of involvement.

This stage includes the time before a research projects/partnership starts or when funding is being applied for. To achieve reciprocity, academic and partners need to engage in a timely, repeated, and transparent dialogue to achieve beneficial outcomes

<sup>&</sup>lt;sup>1</sup> Ní Shé, É., Cassidy, J., Davies, C. *et al.* Minding the gap: identifying values to enable public and patient involvement at the pre-commencement stage of research projects. Res Involv Engagem 6, 46,2020.

for all stakeholders. Being open to new inputs and differing modes of knowledge and ideas was also stressed. For some, this will require a change in attitudes and behaviours and should result in more collective decision making.

# <u>Strand 1a: Enhancing Civic Society within a national context (awards up to a maximum of €12,000)</u>

# **Context for Strand 1a**

Ireland faces key social, environmental and economic challenges. A number of challenges are specific to Ireland, whilst others are shared with other nations in Europe and globally. The <u>various challenges</u> we share as nations are well-articulated, particularly in the form of the incorporating 17 global goals. Horizon2020 integrated societal challenges as a key pillar of the framework, and Horizon Europe is to continue with this approach.

Ireland has integrated a cross-governmental challenge-based approach, with the publication of the <u>National Planning Framework (Ireland 2040)</u> and the associated <u>National Development Plan</u>. The framework sets out 10 National Strategic Outcomes (NSOs), the achievements of which are necessary to ensure prosperity, equality, a sustainable future, and protection of our natural environment. As stated in the framework, there is strong alignment between the national strategic outcomes and the Sustainable Development Goals; there is also a strong relationship between the NSOs and H2020 societal challenges.

The achievement of national goals will be challenging in themselves and will require an unprecedented level of collaboration and coordination both within the State and on an interjurisdictional basis. Given the myriad agencies that will be at the 'coal-face' in implementation of the National Development Plan, major opportunities for researchers to form new connections and links will emerge, regionally and locally, and in doing so will further demonstrate the value of the Irish research system as a national resource.

Excellent research across all disciplines has a major role to play in developing new knowledge and evidence, which policy and decision-makers and practitioners can utilise to guide action and interventions on the path to successfully achieving national societal challenges. Maximising the contribution of the research system in this regard requires a strong emphasis on building robust mechanisms to ensure the exchange of knowledge between policy and practice environments, including building the necessary relationships with the public sector, civil society, or elsewhere, to support this process.

#### **Description and Eligibility for Strand 1a**

Run in partnership with The Wheel and Dóchas, this strand will support small, discrete collaborative projects between **postdoctoral or more experienced researchers** (acting as the applicant to the Council) and a charity.

The civic society partner must be a registered charity.

<u>The Wheel</u> is a support and representative body connecting community and voluntary organisations and charities across Ireland. Established in 1999, The Wheel has evolved to become a resource centre and forum for the community and voluntary sector.

<u>Dóchas</u> is the Irish Association of Non-Governmental Development Organisations. It is a meeting place and a leading voice for organisations that want Ireland to be a world leader in efforts to bring about global justice.

The two organisations will work together to promote this strand of New Foundations, to enhance engagement between academic researchers and NGOs through the scheme and to contribute to the dissemination of research outcomes for the benefit of practice and policy.

The objectives of this Strand are to:

- Develop networks between academia and civic society groups in the community and voluntary sector;
- Encourage knowledge exchange between these groups;
- Develop expertise to support the engagement of civic society organisations;
- Develop networks between academia and civic society organisations;
- Develop expertise to support the work of these organisations within the higher education community;

Charities, CVOs or NGOs interested in participating in this strand must collaborate with an Academic Partner based in a HEI/RPO. (See the Irish Research Council website for a list of eligible institutions).

Those seeking an Academic Partner can send a description of their organisation and potential area for collaboration along with contact details to <a href="mailto:newfoundations@research.ie">newfoundations@research.ie</a>. The organisation will be added to an updated spreadsheet and posted on the <a href="mailto:New Foundations funding webpage">New Foundations funding webpage</a>. There is no specific deadline for CVO/NGO contact messages; these should be submitted as soon as possible to allow sufficient time for a partnering researcher to submit a proposal.

Applications to this Strand should develop a research idea or project, test a concept or theory, and/or develop partnerships through activities. Applications should clearly indicate why the project is important and how it speaks to the issues and concerns of the partner charity, CVO, or NGO with a focus on national collaboration.

It is a condition of acceptance of Strand 1a awards that the applicant contribute material/information of project outputs for dissemination activities and/or publications undertaken jointly by the Irish Research Council, the Wheel and Dóchas.

# Strand 4: In partnership with the Irish Aid, Networking & Collaboration Grants for prospective North-South Research Partnerships (awards up to a maximum of €10,000).



### Funded by the Department of Foreign Affairs (DFA)

Up to 4 awards will be made under this strand.

This strand will provide researchers with an opportunity to carry out networking activities or to form consortia. Successful proposals will be funded by DFA and will be in areas of strategic importance to Ireland's development cooperation programme.

This strand is designed to build a pipeline of research collaborations for the <u>COALESCE call</u>, focusing on innovative responses to global challenges within the framework of the <u>Sustainable Development Goals (Agenda 2030)</u>. It is envisaged that up to four such networking grants will be awarded, of up to a maximum of €10,000 each, to support collaboration between Irish and partner research institutions, with a view to making a submission under COALESCE in future calls. Proposals may be bilateral or multilateral in nature. The lead applicant must be based in an eligible HEI/RPO in Ireland.

Eligible partner countries: any country on the African continent; Vietnam; Palestine; Laos; Cambodia; Myanmar.

It is anticipated that successful 2020 awardees of New Foundations Strand 4 may apply to COALESCE Strand 2B in 2021. Successful awardees of Strand 4 of New Foundations must be at least 3 years post-PhD at the time of applying to COALESCE and cannot already hold a COALESCE award at the time of applying to COALESCE.

The DFA Strand of the COALESCE Programme seeks to cultivate and to grow high-quality development research capacity both in Ireland and eligible partner countries in response too the key societal challenges and policy priorities outlined in <u>A Better World, Ireland's Policy for International Development</u>. It is designed to encourage interdisciplinary approaches for knowledge generation that will produce rigorous and influential evidence and learning, with a view to impacting at a societal level in partner countries, as part of the implementation of *A Better World*.

Applicants may wish to consult the 2019 <u>COALESE call's Terms and Conditions</u> (particularly Appendix 2) for further information on the Department of Foreign Affairs research activities.

# Strand 5: Creative Ireland Programme Research Grants Funded by the Department of Culture, Heritage and the Gaeltacht (two awards will be made, up to a maximum value of €40,000 each)

# Funded by the Department of Culture, Heritage, and the Gaeltacht



## **Background and Context for the Creative Ireland Programme**

The Creative Ireland Programme<sup>2</sup> is a 5-year initiative which places creativity at the centre of public policy. The Programme is a high-level, ambitious, all-of-government initiative to mainstream creativity in the life of the nation. The core proposition is that participation in cultural and creative activity promotes individual, community and national wellbeing.

The programme seeks to support each citizen – whether individually or collectively, in personal life or in institutions – to realise full creative potential, by encouraging, facilitating and supporting collaboration in order to cultivate a diverse, accessible and creative cultural ecosystem that sustains wellbeing for all. The Programme is based on 5 pillars:

- Pillar 1 Enabling the Creative Potential of Every Child
- Pillar 2 Enabling Creativity in Every Community
- Pillar 3 Investing in our Creative and Cultural Infrastructure
- Pillar 4 Ireland as a Centre of Excellence
- Pillar 5 Unifying our Global Reputation

[Note: While creativity is commonly associated with the arts, it is important to identify that creativity in the context of the Creative Ireland Programme should be considered more widely. Creativity is the use of imaginative capabilities to transform thinking and produce original and innovative ideas and solutions. It involves collaboration, investigation, challenging assumptions and taking risks and there are opportunities for creativity to be expressed in not only music, drama and visual art but also in writing and learning languages, in mathematics and sciences and in designing, making and entrepreneurial activities.]

# Pillar 1, Creative Youth A Plan to Enable the Creative Potential of Every Child and Young Person

The Creative Youth Plan<sup>3</sup> was published in December 2017 and aims to give every child practical access to tuition, experience and participation in art, music, drama and coding by 2022. The Plan works across:

<sup>&</sup>lt;sup>2</sup> https://www.creativeireland.gov.ie/app/uploads/2019/12/Creative-Ireland-Programme.pdf

https://www.creativeireland.gov.ie/app/uploads/2019/12/CI ChildrensPlan Screen 1.pdf

- Schools (including early years settings)
- Teacher Continuing Professional Development
- Out-of-School (in the community)

In this regard, the objective is to help promote and sustain a learning ecosystem in which knowledge and creativity are equal partners in the formation of young people, both in the formal education system (where schools can support creativity and innovation in teaching and learning in an integrated way) and in non-formal or out of school settings.

The Creative Youth Plan is being led and monitored by a Working Group made up of representatives from the Department of Culture, Heritage and the Gaeltacht, the Department of Education and Skills, the Department of Children and Youth Affairs and the Arts Council. An Expert Advisory Group, chaired by Dr Ciaran Benson, is assisting the Working Group to achieve the broader long-term objective: to enhance cultural and creative education for all children and young people.

### **Proposed Research Programme**

The Creative Ireland Programme is keen to initiate a programme of research which, together with the learnings from supported pilot projects and initiatives, will underpin and support the development of the long-term legacy of the Programme – to lead change in public policy across a broad range of areas aimed at supporting continued access to, integration of, and recognition of the benefits to society, of cultural creative activity and participation. It is envisaged that up to two grants will be awarded, of up to a maximum of €40,000 each.

The funded projects must start in March 2021 and be completed in 9 months, in line with the timeline for conclusion of the current Creative Ireland programme in 2022.

Before the applicant deadline, applications shall be submitted by a senior researcher who already holds a contract of sufficient duration with an eligible HEI/RPO to carry out the proposed research from the project start date until project end date. The lead Principal Investigator may apply to undertake both funded projects; if successful, the applicant will be required to submit a time-management plan to ensure feasibility of undertaking both projects. Postgraduates are not eligible to apply, but applicants may include a maximum of two individuals (including postgraduates) as part of their research team.

The Creative Ireland Programme seeks to engage researchers to advance the following (one award per topic):

 To describe any hurdles and barriers impeding access of children and young people to creative activities, exploring their relevance to children and young people (or specific cohorts therein) in Ireland, and identifying national and international best practices and experience in mitigating such issues. [Note: In this regard, the Creative Ireland Programme places particular emphasis on access for, and by, certain cohorts – such as male teens, those from disadvantaged socio-economic backgrounds and new or minority communities. Ref: "Arts and cultural participation among children and young people – Insights from the Growing Up in Ireland study"<sup>4</sup>.]

2. A review and collation of best available international knowledge, experience and evidence regarding the impact and evaluation of creative engagement on mental health and wellbeing in the community (principally in respect of children and young people), including identifying possible options for collaborative long term approaches between the creative and health sectors for specific cohorts of the population in Ireland.

[Note: The review might also usefully highlight findings which correlate with the aims of projects being supported under the National Creativity Fund. The Creative Ireland Programme office will supply the successful applicant(s) with details of each project funded under the National Creativity Fund.]

### Applicants should note that:

- A. The implementation of Pillar 1 (Creative Youth) of the Creative Ireland Programme is supported by an Expert Advisory Group (EAG), chaired by Dr Ciaran Benson. It is intended that successful applicant(s) will be required to submit a progress report to the Programme team/EAG after 3 months and to give a short presentation on progress to the EAG after 6 months' work. In addition, the Creative Ireland Programme may nominate a member of the EAG to liaise with and support the successful applicant(s) during the course of the research.
- B. The Creative Ireland Programme has supported a range of sectoral, community and voluntary bodies to develop and implement or pilot new initiatives (either directly in response to actions in the Creative Youth Plan, in response to wider Programme objectives or under the National Creativity Fund) which aim to address the Programme's aims (such as providing increased access to creative activity, and culture/creativity-based interventions to support individual mental health and wellbeing). The Creative Ireland Programme will provide the successful applicant(s) with access to project reports or facilitate direct contact with project promoters to enable linkages between other areas of research and funded initiatives in undertaking the research.

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<sup>&</sup>lt;sup>4</sup> http://www.artscouncil.ie/Publications/All/Arts-and-cultural-participation-among-children-and-young-people-%E2%80%93-Insights-from-the-Growing-Up-in-Ireland-study/

# Strand 6: Children and Youth Affairs Research Grants In partnership with the Department of Children and Youth Affairs (DCYA), GUI Networking Events (one award up to a maximum of €10,000



# Funded by DCYA

In partnership with the Department of Children and Youth Affairs, this strand seeks to fund a proposal to establish a series of linked networking events aimed at identifying potential research projects using Growing Up in Ireland (GUI) data in order to maximise its value for policy development. The events should explore how Growing up in Ireland Data could be used in innovative ways to investigate the lives of children and young people, the factors that support or impede their development, and the lessons for policy and practice arising. The Department is particularly interested in projects that identify and interrogate inequalities in children and young people's experiences. This strand will provide researchers with an opportunity to carry out a series of networking activities, with a focus on generating innovative ideas and potential research projects. This could include projects which compare GUI data with data from similar cohort studies elsewhere, or studies which analyse GUI data in the context of Irish data from other sources.

The successful applicant will be expected to summarise the outcomes of these networking events in a short report (15-20 pages) setting out prospective *policy-relevant* research ideas that will be presented to the Department at the end of the award.

This strand will foster an interconnected community of practice and knowledge for researchers interested in using GUI data for research-informed policy development in combination with other data sources (in particular, comparable international longitudinal data sources). While the report may inform future DCYA research priorities, emerging research collaborations are also encouraged to seek funding for prospective projects through other channels (e.g. by stimulating engagement with the <a href="COALESCE">COALESCE</a> Research Fund). DCYA and the Irish Research Council support should be acknowledged in any such funding applications. DCYA and the Irish Research Council should also be informed of any other outcomes which are developed as a result of the networking opportunities.

Growing Up in Ireland is the national longitudinal study of children. This study examines the factors which contribute to or undermine the well-being of children in contemporary Irish families. More information can be found at <a href="https://www.growingup.ie">www.growingup.ie</a>.

It is envisaged that one networking grant will be awarded, of up to a maximum of €10,000, to support collaboration between research institutions or research-

performing organisations (RPO). International partnerships are also welcome. The lead applicant must be based in an eligible HEI/RPO in Ireland.

Before the applicant deadline, a successful application shall be submitted by a Principal Investigator who already holds a contract of sufficient duration with an eligible HEI/RPO to carry out the proposed research from the project start date until project end date. Postgraduates are not eligible to apply.

It is anticipated that applicants will be familiar with the structure and richness of the *Growing Up in Ireland* datasets. It is desirable but not essential that proposals include an applicant with experience of analysing GUI data. Further resources are available through summary guides, data dictionaries and pilot reports on the GUI website at <a href="https://www.growingup.ie/data-documentation/">https://www.growingup.ie/data-documentation/</a>.

# <u>Strand 7: 'Spatial Analysis of Crime, Safety and Confidence' Strand in partnership with The Department of Justice and Equality (one award up to a maximum of €10,000)</u>



## Funded by the Department of Justice and Equality (DoJE)

The DoJE are interested in gaining a better understanding of the relationship between perceptions of safety and confidence in the criminal justice system by geographical patterns (for example, level of deprivation, urban/rural etc.). We would be interested in:

- (i) understanding some of the theoretical/empirical research that attempts to explain the relationship between geography and safety
- (ii) and see how this fits with Irish data and how this could inform policy making in these areas

This strand will provide researchers with an opportunity to carry out an individual project and networking activity. Successful proposals will be funded by DoJE and will be in areas of strategic interest to this organisation. Before the applicant deadline, the successful applicant for Strand 7 New Foundations must be an experienced researcher who already holds a contract of sufficient duration with an eligible HEI or RPO to carry out the proposed research from the project start date until project end date.

Expected outputs would include a short report and presentation to the Department. Proposals may be submitted by an individual, though a partnership of agencies/organisation may be involved. The lead applicant must be based in an eligible higher education institution (HEI) or research-performing organisation (RPO) in Ireland.

Applicants may wish to access the DoJE's Research and Data Analytics Unit webpage for information on research currently being explored by the Department (<a href="http://www.justice.ie/en/JELR/Pages/Research\_and\_Data\_Analytics">http://www.justice.ie/en/JELR/Pages/Research\_and\_Data\_Analytics</a>).